



**WINTHROP
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South Carolina House of Representatives Higher Education and Technical Colleges Subcommittee Briefing

**Dr. Daniel F. Mahony,
President, Winthrop University**

January 10, 2018





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Winthrop University: Access and Quality

Fall 2017

- 90% of Winthrop undergraduate students and 87% of all students are from South Carolina
- 40% of the student body is multicultural
- 47% of first-time freshmen and 42% of all undergraduates are Pell grant recipients
- Winthrop's 6-year graduation rates:
 - Hispanic students – 59%
 - African-American students – 59%
 - Institutional – 58%



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Winthrop University: Accountability Report Highlights

- The Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) approved Winthrop's Fifth-Year Interim Report and requested no additional reports or information.
- Winthrop ranked 8th among Southern public universities in *U.S. News & World Report's* edition of "America's Best Colleges."
- The Education Trust in its recent national report, "A Look at Black Student Success" listed Winthrop University as #2 in the country for graduating Black students.
- In 2016-17, Winthrop's student-athletes, representing 18 sports teams, raised their average cumulative GPA to a record-high 3.2 on a 4.0 point scale.



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4% Tuition Waivers

	2014-15			2015-16			2016-17		
4% Tuition Waivers	HC	R	NR	HC	R	NR	HC	R	NR
Freshman	47	30	17	49	31	18	48	32	16
Sophomore	48	31	17	46	30	16	47	32	15
Junior	48	31	18	51	33	18	51	34	17
Senior	56	36	20	57	37	20	58	39	19
Total	199	127	72	203	131	72	204	137	67

	2014-15			2015-16			2016-17		
Full Abatements	HC	R	NR	HC	R	NR	HC	R	NR
Freshman	69	0	69	83	0	83	114	0	114
Sophomore	55	0	55	81	0	81	73	0	73
Junior	61	0	61	89	0	89	79	0	79
Senior	65	0	65	97	0	97	60	0	60
Total	250	0	250	350	0	350	326	0	326
Partial Abatements	HC	R	NR	HC	R	NR	HC	R	NR
Freshman	42	0	42	14	0	14	3	0	3
Sophomore	28	0	28	12	0	12	2	0	2
Junior	43	0	43	21	0	21	8	0	8
Senior	43	0	43	43	0	43	24	0	24
Total	156	0	156	90	0	90	37	0	37

HC = headcount R = resident NR = non-resident (Source: Institutional Data Files & Proviso 11.29 Report)



Merit Award Abatements

Policies pursuant to 59-112-70 (A)

- In accordance with Section 59-112-70, the Board of Trustees of Winthrop University has authorized the abatement of any part or all of the out-of-state differential rates for out-of-state students who are recipients of scholarship aid.
- 363 undergraduates received abatements for Academic Year 2016-17.

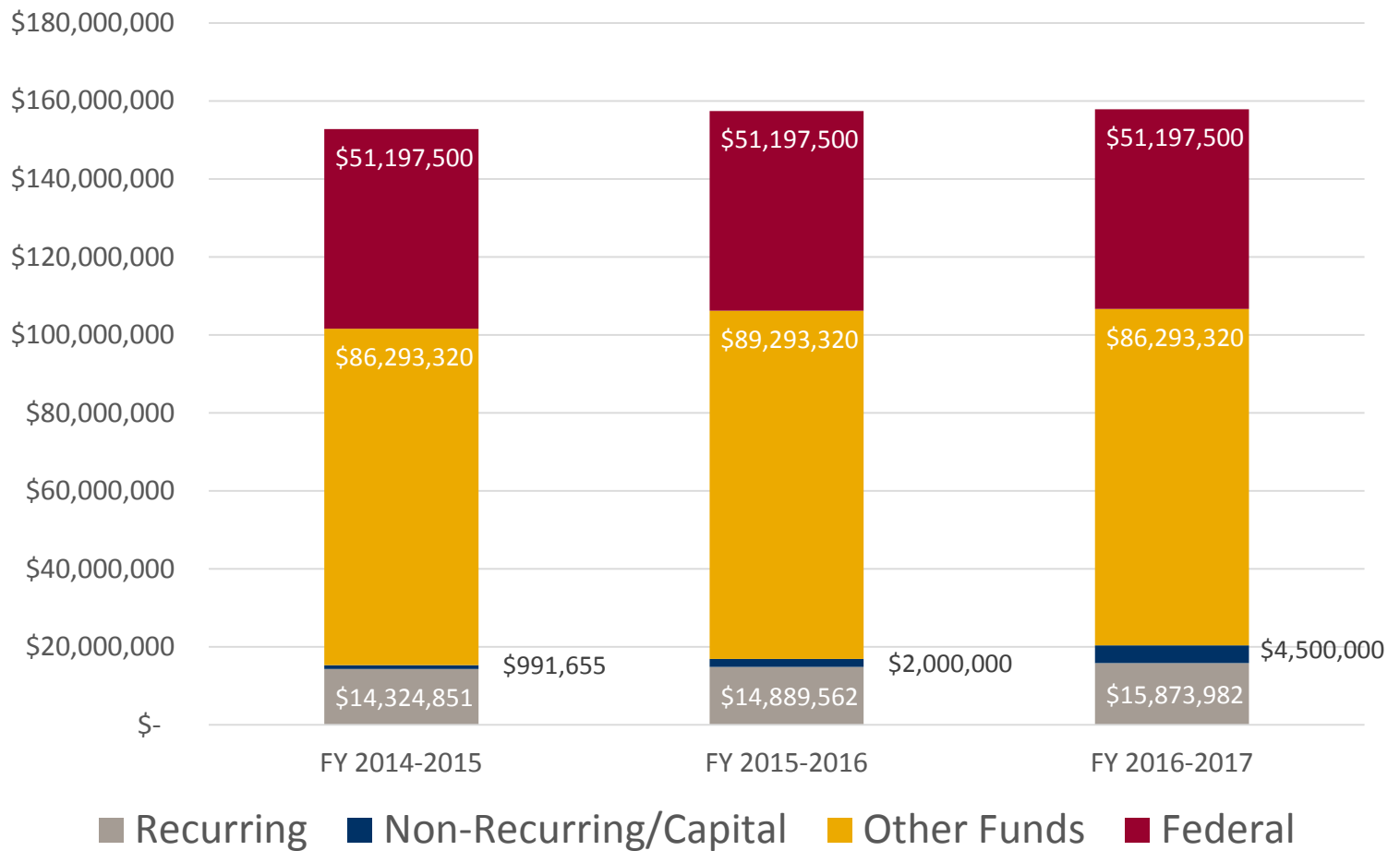
Policies pursuant to 59-112-70 (C)

- Winthrop University's Board of Trustees has no formally approved agreements with international institutions pursuant to Section C of 59-112-70.



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Appropriations History

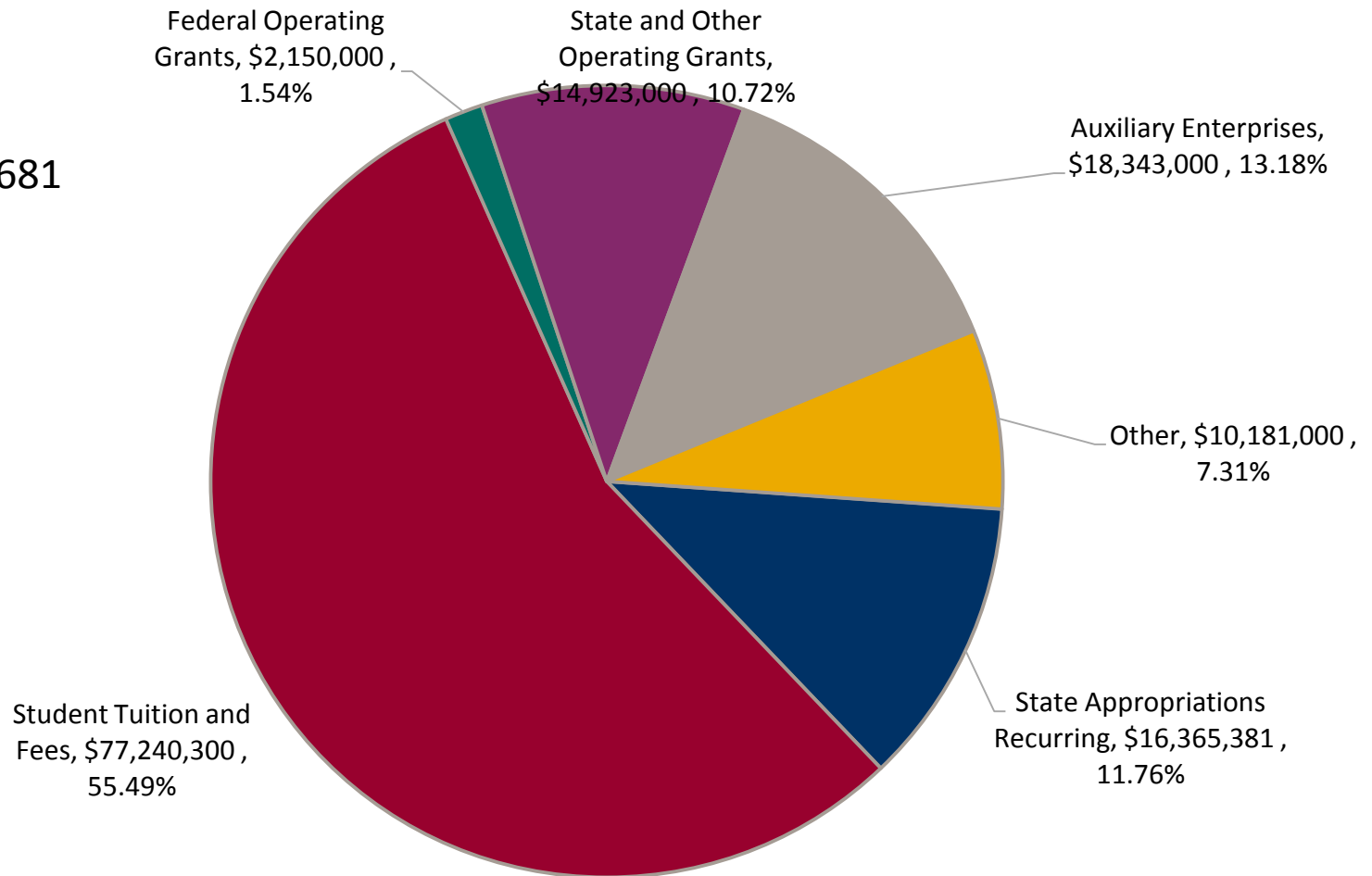




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FY18 Projected Current Revenue

Total: \$139,202,681

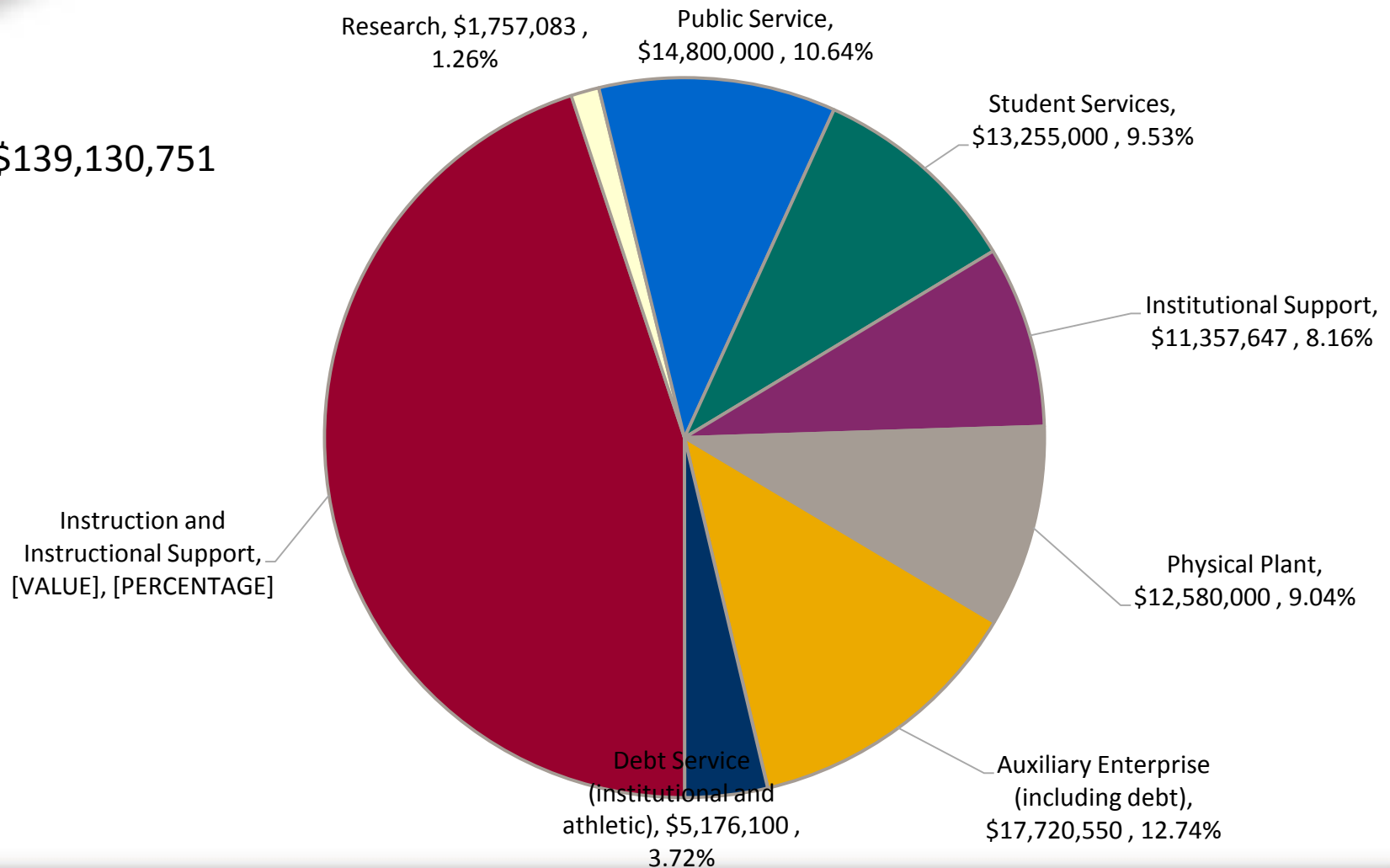




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FY18 Projected Current Expenses

Total: \$139,130,751





Carry Forward

	FY15-16	FY16-17	FY 17-18
Recurring	\$0	\$0	\$0
Non-Recurring	\$0	\$0	\$0
Other	\$16,443,222*	\$18,751,058*	\$17,000,000*
Federal	\$0	\$0	\$0

Carry Forward of Other Funds consists of auxiliary funds and institutional capital project funds:

- Since auxiliary services must be self-supporting, funds are maintained to cover equipment and building maintenance, as well as emergency equipment or facility repairs.
- Institutional capital project funds are maintained to cover small facility maintenance and refurbishment projects.

*Without regard to the impact of GASB68 ("Accounting and Financial Reporting for Pensions").



Capital Projects

Winthrop currently has two active PIP projects and one non-active in the process of closure:

- Withers Roof Replacement, project number 9577 funded by non-recurring. Current balance is \$193,215.
- 2015-16 Maintenance Needs, project number 9578 funded by Lottery and Institutional Match funds. Project in process of closure. Current balance is \$-0-.
- Music Conservatory / Byrnes Auditorium, project number 9579 funded by non-recurring. Current balance is \$4,444,347.



Maintenance

Winthrop addresses maintenance needs by the following methods:

- Maintains a rolling project list which includes facility maintenance and repair needs.
- Maintains a project team with representatives from the campus constituencies that meets monthly.
- Project team creates a list which includes items such as roof repairs and replacement, fire alarm replacements, painting, electrical and small facility changes to accommodate programs.
- These projects are given budget estimates and are performed as time and funding permit.

In FY 2016-17, Winthrop spent \$9,223,245 on maintenance needs. Winthrop has ongoing projects for an additional \$10,065,000.

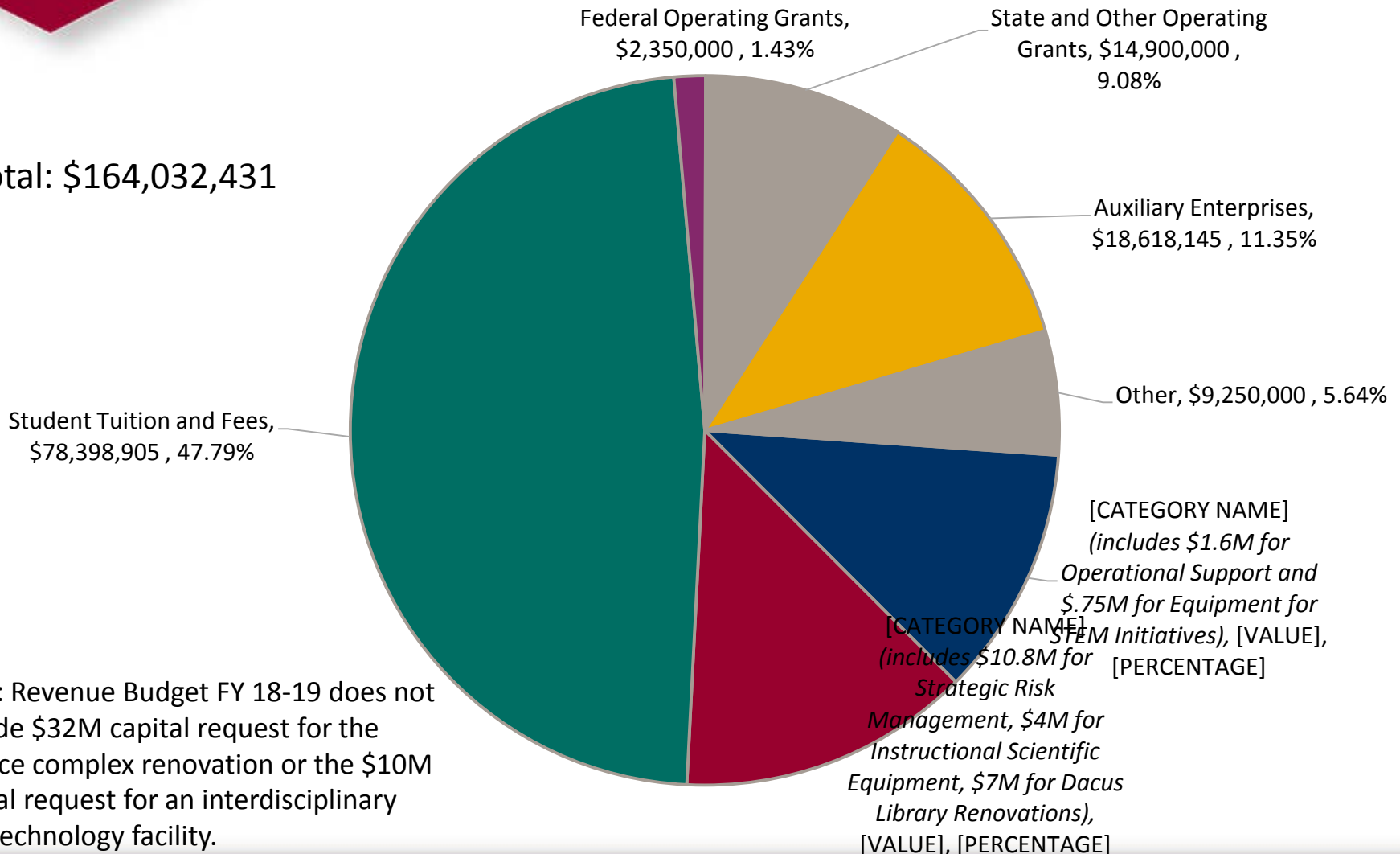


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FY19 Revenue Budget

With anticipated funding

Total: \$164,032,431



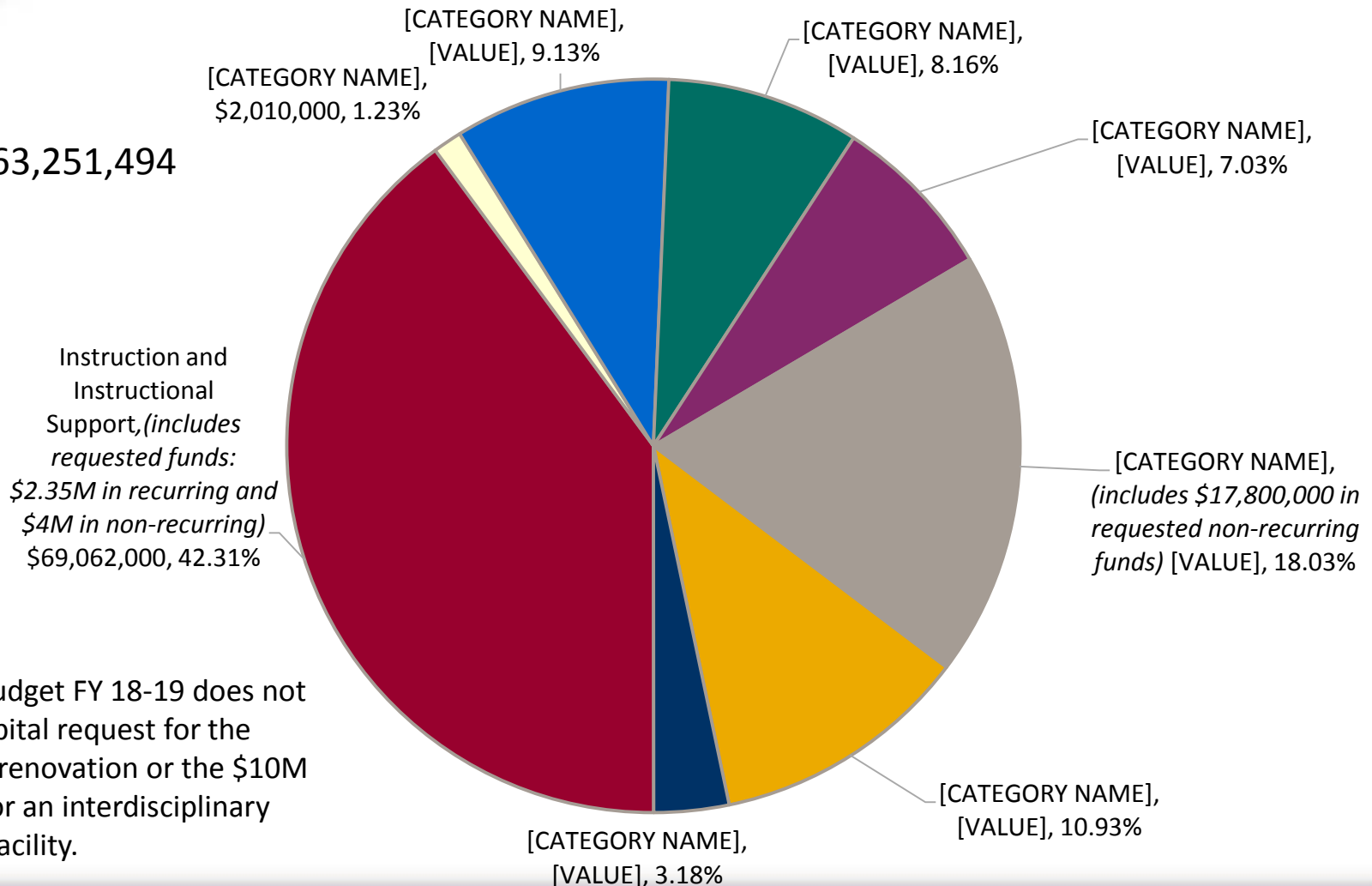


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FY19 Expense Budget

With anticipated funding

Total: \$163,251,494



Note: Expense Budget FY 18-19 does not include \$32M capital request for the science complex renovation or the \$10M capital request for an interdisciplinary arts technology facility.



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Recurring Requests

Request	Amount Requested	Description of Request
Operational Support of STEAM Disciplines	\$1.6 Million	<ul style="list-style-type: none">• Support increasing online program options to students and promote on-time or early degree completion by both undergraduate and graduate students.• Hire a full-time Information Security Officer.• Fund recurring software for GIS (Geographic Information Systems) technology and other systems.
Ongoing STEAM Equipment Upgrades	\$750,000	<ul style="list-style-type: none">• Create recurring funding to support annual updates to equipment and software in expanding STEAM fields.



Non-Recurring Requests

Request	Amount Requested	Description of Request
Instructional Scientific Equipment Replacement	\$4 Million	<ul style="list-style-type: none">• Replace equipment and associated software in biology, chemistry, human nutrition, and exercise science.
Strategic Risk Management	\$10.8 Million	<ul style="list-style-type: none">• Electric distribution modernization. (\$2 M)• Steam line renovation and enhancements. (\$2 M)• Fire alarm upgrades and enhancements. (\$1.1 M)• Improve Wi-Fi coverage in our classroom facilities. (\$1.3 M)• Building envelope and building infrastructure improvements. (\$4.4 M)



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Non-Recurring Capital Requests

Request	Amount Requested	Description of Request
Dacus Library Renovation	\$7 Million	<ul style="list-style-type: none">Instead of replacing Dacus Library (at a cost of \$55 million), respond to increased use and expanded services by improving the building's infrastructure.
Interdisciplinary Arts Technology Facility	\$10 Million	<ul style="list-style-type: none">Build an interdisciplinary technology facility (approximately 17,000 sq. ft.) to support student experiential learning for career preparation in the expanding music, performance, and software fields.
Science Complex Renovation and Addition	\$32 Million	<ul style="list-style-type: none">Renovate and expand the Science Complex facilities (Dalton Hall and Sims Hall) by adding teaching labs, classrooms, faculty offices, and research lab space and by refurbishing four teaching labs.



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Other Funds Authorization

Request	Amount Requested	Description of Request
Support of Center for Educator Recruitment, Retention, and Advancement (CERRA)	\$9 Million	<ul style="list-style-type: none">Permits additional funding allocated from the General Assembly to the Center for Educator Recruitment, Retention, and Advancement (CERRA)



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Federal Funds Request

Winthrop University had no requests to increase federal funds authorization for FY 2018-19.



FTE Request

Proviso 11.14. (CHE: Other Funded FTE Revenue) When institutions of higher learning request additional other funded full-time equivalent positions, the Executive Budget Office shall inform the Commission on Higher Education of its decision regarding the request and whether or not sufficient revenues exist to fund the salary and fringe benefits for the positions.

Winthrop University is requesting no other-funded FTE positions.



Cost Savings (3% Target)

- 3% cost saving total: \$490,961
- Reduction targets:
 - One-half through across-the-board administrative and operational budget reductions
 - One-half through a reduction in interim faculty
- Reductions likely will negatively impact class size, maintenance, and student support.

NOTE: In FY2015, Winthrop implemented a 10% across-the-board cut in operational budgets, saving approximately \$1.1M annually, which is used to keep tuition costs low.



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Winthrop has
submitted no
proviso requests.

General Provisos

Winthrop Requests to “Keep”

117.7 Fee Increases
117.8 State Institutions – Revenues & Income
117.11 Fixed Student Fees
117.14 FTE Management
117.15 Allowance for Residences & Compensation Restrictions
117.16 Universities & Colleges – Allowance for Presidents
117.20 Travel – Subsistence Expenses & Mileage
117.29 Base Budget Analysis
117.45 Parking Fees
117.47 Insurance Claims
117.59 Purchase Card Incentive Rebates
117.71 Reduction in Force/Agency Head Furlough
117.72 Printed Report Requirements
117.83 Bank Account Transparency & Accountability
117.113 Information Technology & Information Security Plans
117.121 Statewide Strategic Information Technology Plan Implementation



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Appendix



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Student Enrollment – Fall 2017

Total Enrollment

- 6,073 Headcount (6,109 in Fall 2016)
- 5,312* Full-time Equivalent Enrollment (5,386 in Fall 2016)

Undergraduate

- 5,014 headcount (5,091 in Fall 2016)
- 42% Pell Grant Recipients
- 41% Minority/International

New Freshmen

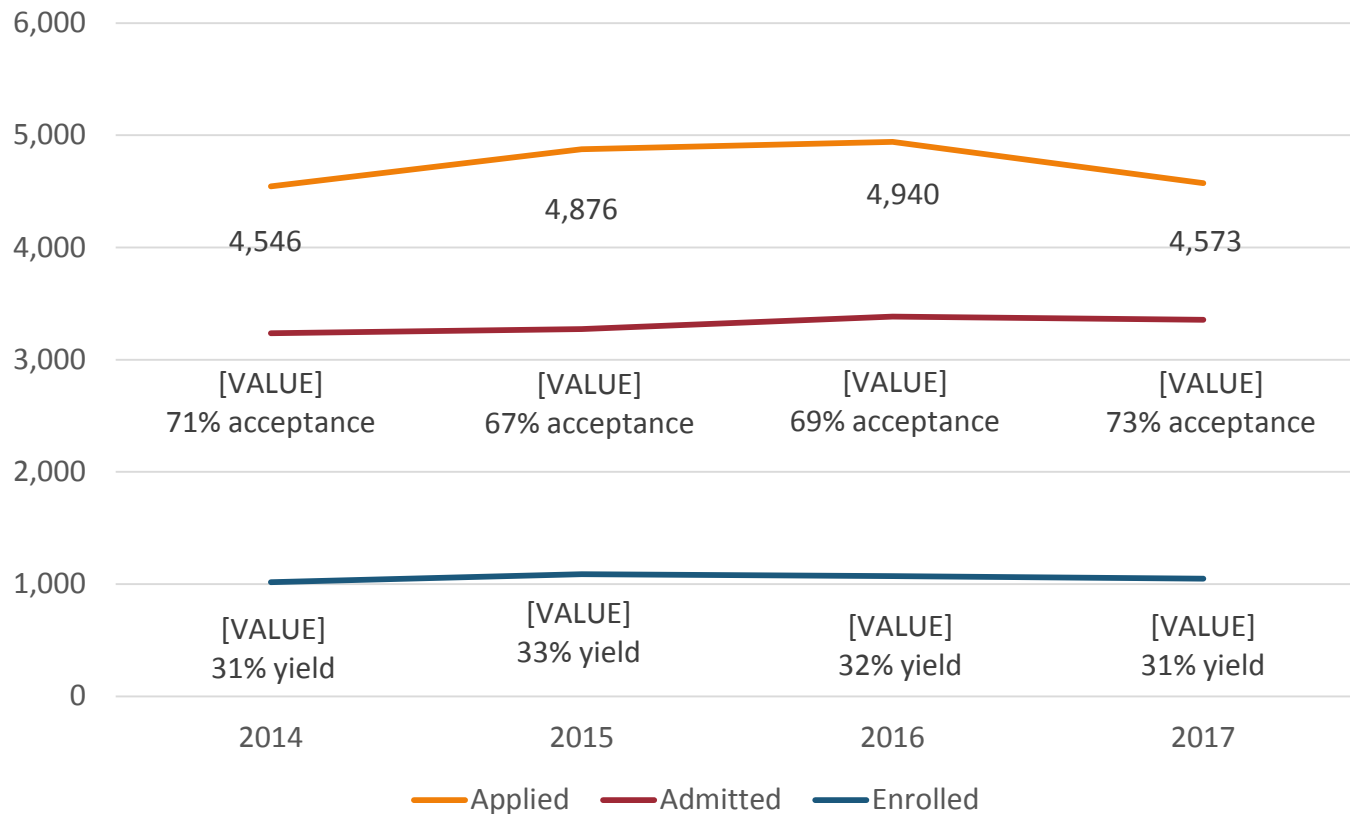
- 1,050 (1,072 in Fall 2016)
- 47% Pell Grant Recipients
- 45% Minority/International

*Estimated. Official FTE enrollment has not yet been published by CHE.



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Applied, Admitted, Enrolled

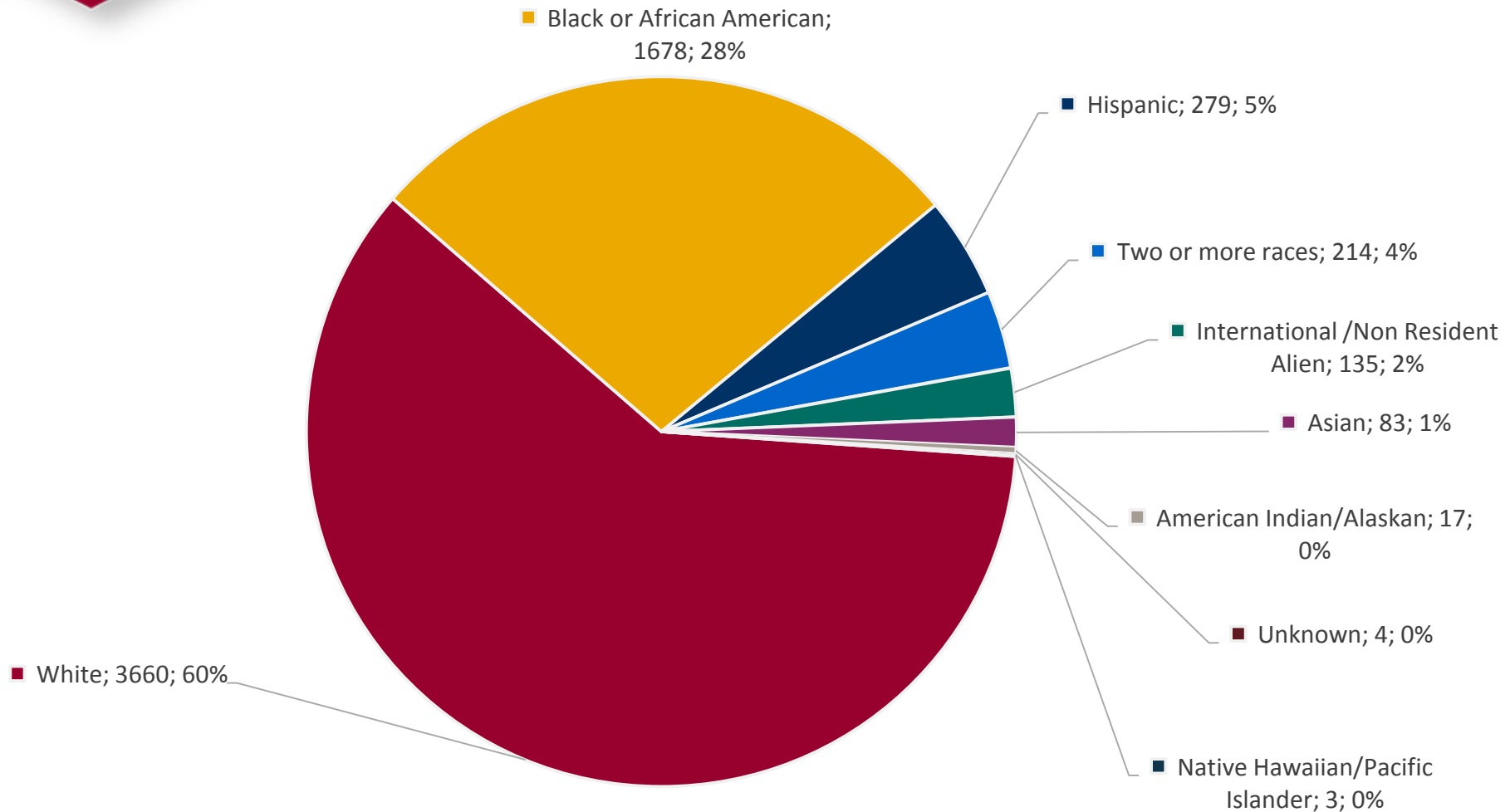


Source: Admissions Standards for First-Time Entering Freshmen reported to CHE annually.



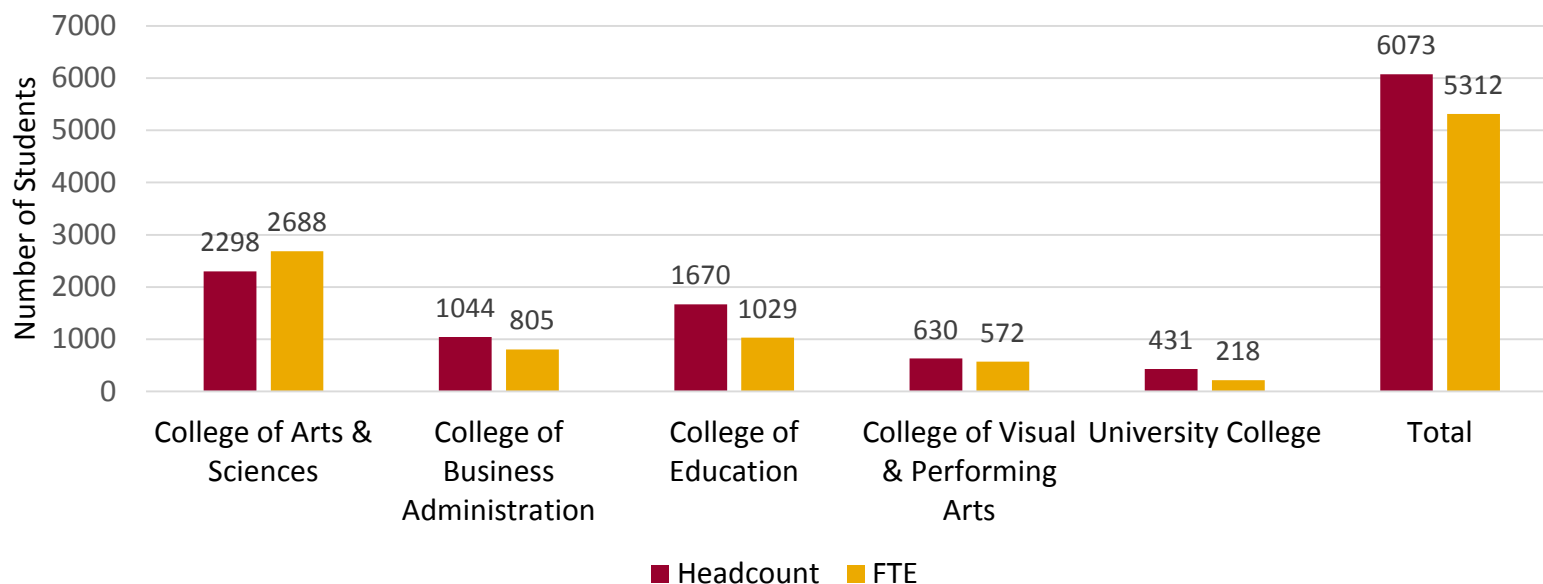
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Minority Enrollment Fall 2017





Enrollment by College Fall – 2017

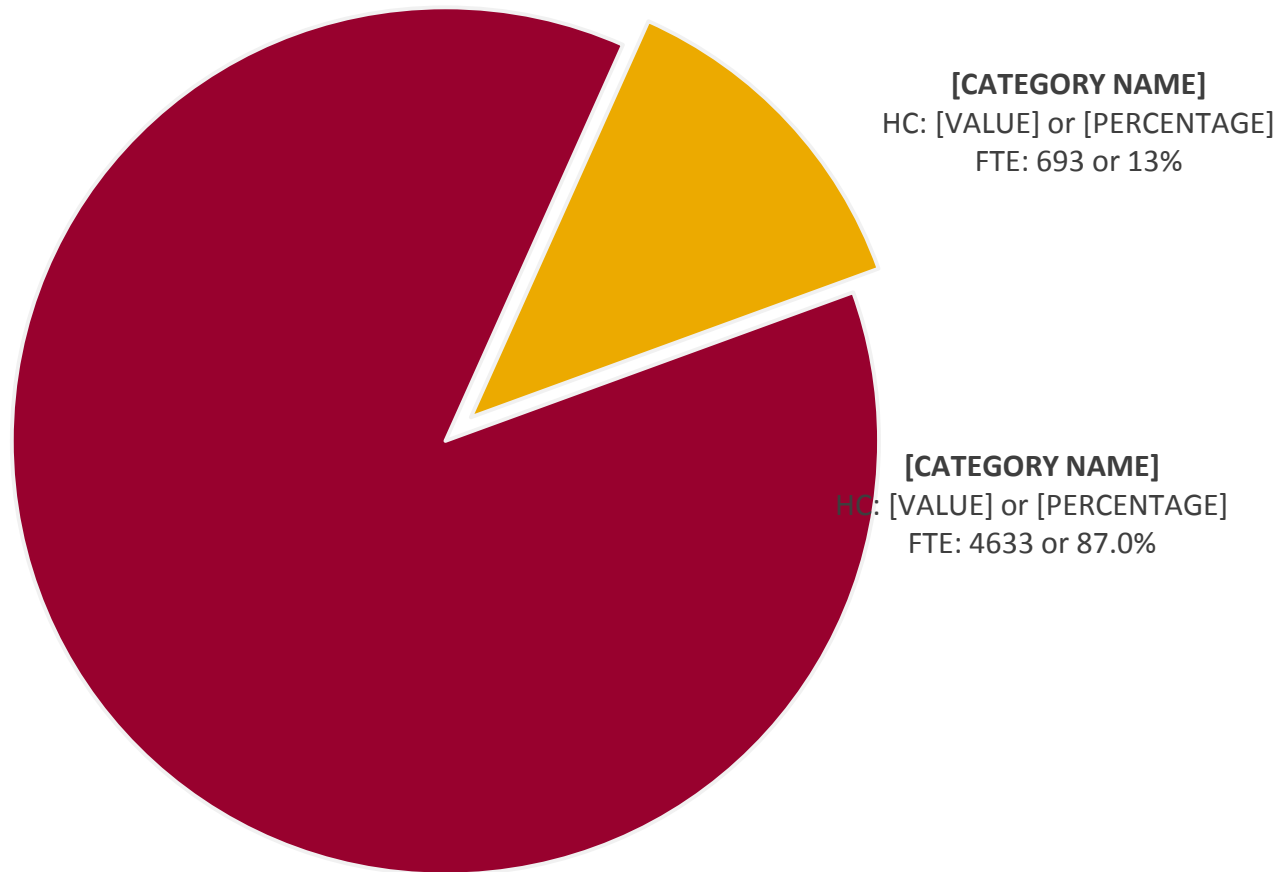


Source: Headcount data based on fall data files submitted to Commission on Higher Education. Headcount data reflect enrollment based on student major/college. FTE enrollment calculated by dividing total credit hours produced in a discipline/college by 15 for undergraduate and 12 for graduate students. FTE is estimated. Official FTE enrollment has not yet been published by CHE.



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In-State vs. Out-of- State Fall 2017 Enrollment

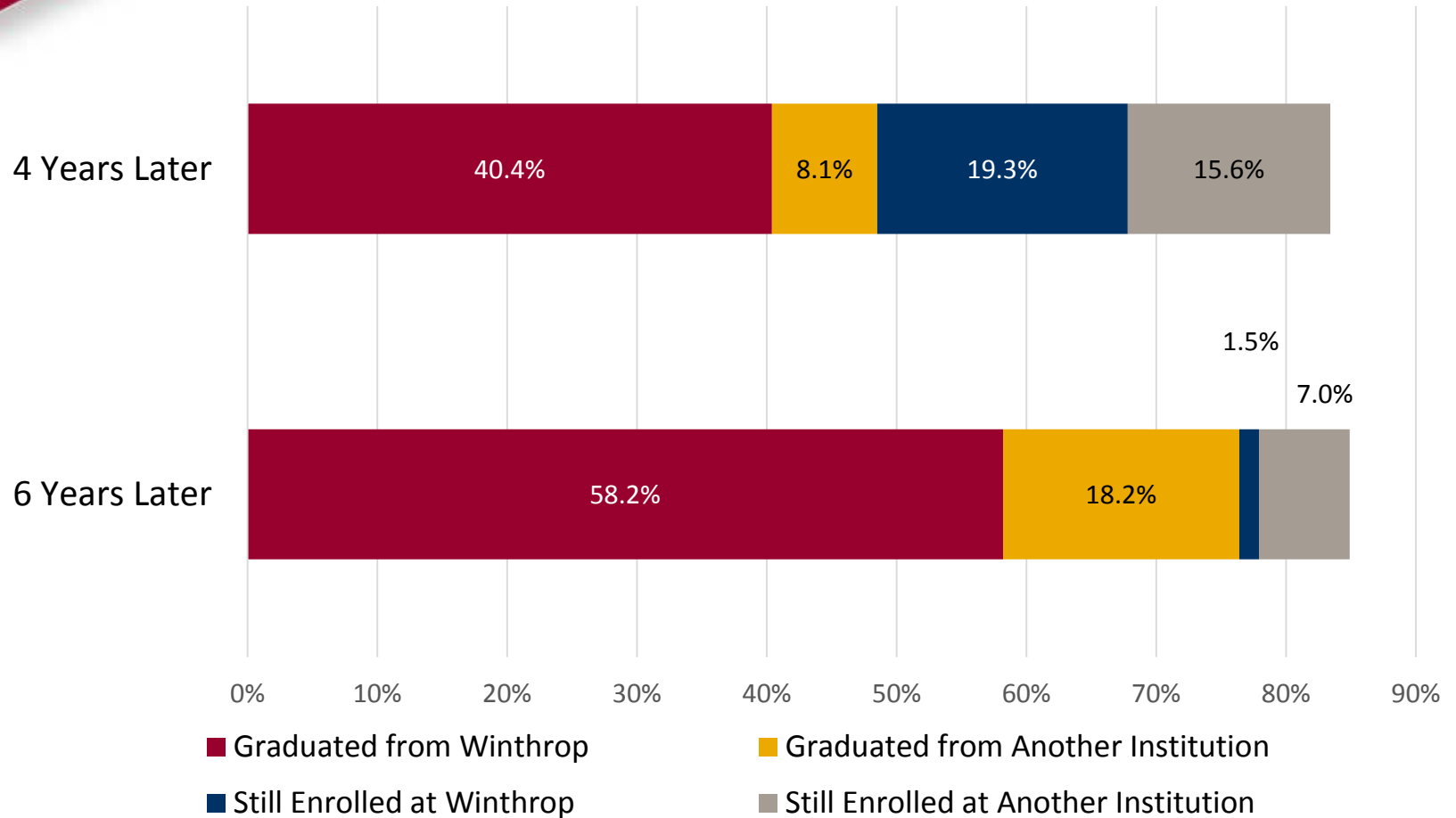


Note: The method for calculating FTE is the method used by the Common Data Set (and others) -- full-time plus one-third of part-time headcount.



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Graduation Data



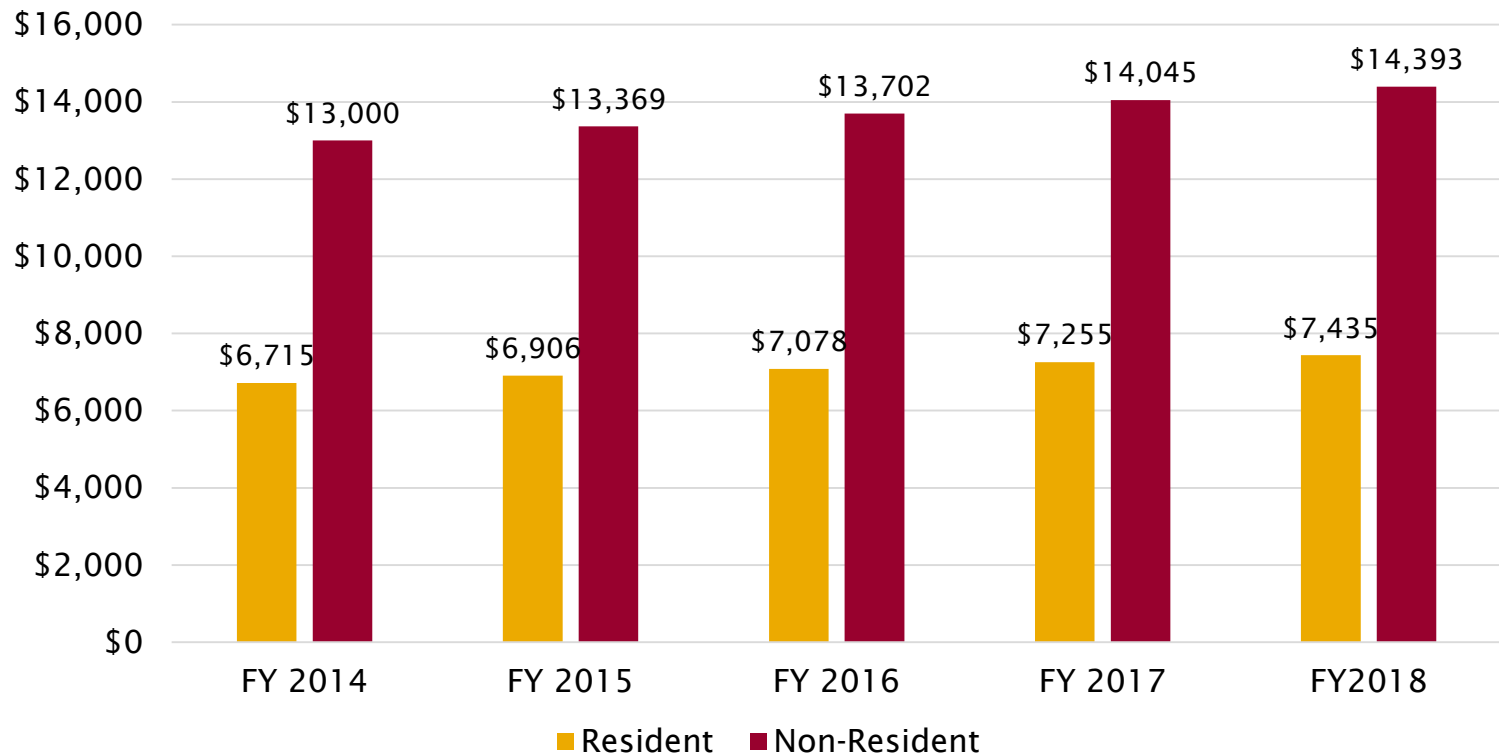
More than 38,000 alumni live in South Carolina



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Tuition and Fees per Semester Undergraduate

FY13 - FY14 Increase 3.1% Resident 6.23% Non-resident	FY14 - FY15 Increase 2.84%	FY15 - FY16 Increase 2.49%	FY16 - FY17 Increase 2.5%	FY17 - FY18 Increase 2.48%
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2017-18 Tuition & Fee Schedule

Full-time Undergraduate Fees per Semester	Resident	Non-Resident
E & G Operating	\$5,897	\$12,269
Information Technology	\$155	\$155
Student Activity	\$790	\$790
Debt Service Institutional Bonds (tuition)	\$571	\$1,157
Debt Service Revenue Bonds (athletic)	\$22	\$22
Total	\$7,435	\$14,393
% Increase from Previous Year	2.48	2.48



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Scholarships and Grants

2016-17		
	Students	Dollars
FEDERAL		
PELL Grant	2,158	\$9,239,153
SEOG Grant	346	\$205,290
TEACH	98	\$332,892
Total	2,602	\$9,777,335
STATE		
LIFE Scholarship	1,771	\$8,518,889
Life Scholarship Enhancement	153	\$370,646
Palmetto Fellows Scholarship	363	\$2,633,350
Palmetto Fellows Enhancement Scholarship	73	\$183,525
HOPE Scholarship	321	\$835,800
SC Need Based Grant	929	\$1,726,980
SC Need Based Grant - CTP Students	11	\$85,000
National Guard Grant	24	\$102,375
Teaching Fellows (loan forgive w/ work in-state)	102	\$565,250
Total	3,747	\$15,021,815
INSTITUTIONAL SCHOLARSHIPS & GRANTS		
Merit	1,842	\$9,037,046
Athletic & Talent	380	\$2,975,458
Named Restricted	639	\$743,805
Public Service	99	\$1,424,005
Total	2,960	\$14,180,314



Outstanding Debt

	Original Principal Issue Amount	Principal Balance Remaining 06/30/17	FY2018 Annual Debt Service	FY2019 Annual Debt Service	FY2020 Annual Debt Service	FY2021 Annual Debt Service	FY2022 Annual Debt Service	FY2023-2029 Annual Debt Service
<u>STATE INSTITUTION BONDS</u>								
Repayment Source - Student Debt Service Fees								
Series 2008B State Institution Bond (To begin construction on the DiGiorgio Campus Center (60%) and to construct a new auditorium in Carroll Hall (40%))	14,000,000	645,000	677,250	0	0	0	0	-
Series 2009A State Institution Bond (To construct the DiGiorgio Campus Center)	13,850,000	1,260,000	664,200	665,600	0	0	0	-
Series 2012D State Institution Bond (To refund \$14.7M of State Institution Bonds Series 2001B5, 2003C, 2004A1 and 2005B)	13,140,000	8,760,000	2,048,000	2,072,500	2,066,750	2,087,000	1,821,750	-
Series 2012E State Institution Bond (To renovate, repurpose, and perform other deferred maintenance projects on campus)	2,245,000	1,460,000	242,525	243,525	244,025	239,025	243,775	483,650
Series 2013A State Institution Bond (To renovate, repurpose, and perform other deferred maintenance projects on campus)	3,045,000	2,420,000	322,550	323,350	323,750	323,750	322,025	1,287,700
Series 2016B State Institution Bond (a) (To refund \$23.7M of State Institution Bonds Series 2005B, 2006A, 2008B and 2009A)	20,290,000	20,290,000	1,079,500	1,756,250	2,419,000	2,421,750	2,420,750	17,398,500
Subtotal State Institution Bonds	\$ 66,570,000	34,835,000	5,034,025	5,061,225	5,053,525	5,071,525	4,808,300	19,169,850

(a) This debt was issued to refund outstanding General Obligation Bonds Series 2005B, 2006A, 2008B and 2009A.
The University completed the refunding to reduce total debt service payments over the next 13 years by \$3,425,502.



Outstanding Debt

	Original Principal Issue Amount	Principal Balance Remaining 06/30/17	FY2018 Annual Debt Service	FY2019 Annual Debt Service	FY2020 Annual Debt Service	FY2021 Annual Debt Service	FY2022 Annual Debt Service	FY2023-2029 Annual Debt Service
<u>ATHLETIC FACILITY BONDS</u>								
Repayment Source - Student Debt Service Fees								
Series 2001A5 Athletic Fac Rev Bonds (To renovate athletic facilities, including the Baseball Park, Coliseum, Softball Complex and Soccer Fields)	\$ 2,400,000	480,000	142,032	136,524	131,016	125,508	0	0
Subtotal Athletic Facilities Revenue Bonds	2,400,000	480,000	142,032	136,524	131,016	125,508	0	0
<u>HIGHER EDUCATION FACILITIES</u>								
Repayment Source - Auxiliary Revenues								
Series 2005A3 Higher Ed Fac Rev Bonds (To renovate Lee Wicker and Phelps residence halls)	3,000,000	0	0	0	0	0	0	0
Series 2016A Higher Ed Fac Rev Bonds (b) (To refund \$7,275,000 of Higher Ed Rev Bonds Series 2009A and 2011)	7,735,000	6,995,000	1,199,276	1,193,832	1,193,101	1,181,985	1,180,677	1,546,088
Series 2016B Taxable Higher Ed Fac Rev Bonds (c) (To refund \$1,335,000 of Taxable Higher Ed Rev Bonds Series 2009B)	1,365,000	1,205,000	190,186	190,660	195,988	191,024	191,060	391,498
Subtotal Higher Education Facilities Revenue Bonds	\$ 12,100,000	8,200,000	1,389,462	1,384,492	1,389,089	1,373,009	1,371,737	1,937,586

(b) This debt was issued to refund outstanding Higher Ed Facility Revenue Bonds Series 2009A and 2011.
The University completed the refunding to reduce total debt service payments over the next 8 years by \$476,192.

(c) This debt was issued to refund outstanding Taxable Higher Ed Facility Revenue Bonds Series 2009B.
The University completed the refunding to reduce total debt service payments over the next 8 years by \$200,309.



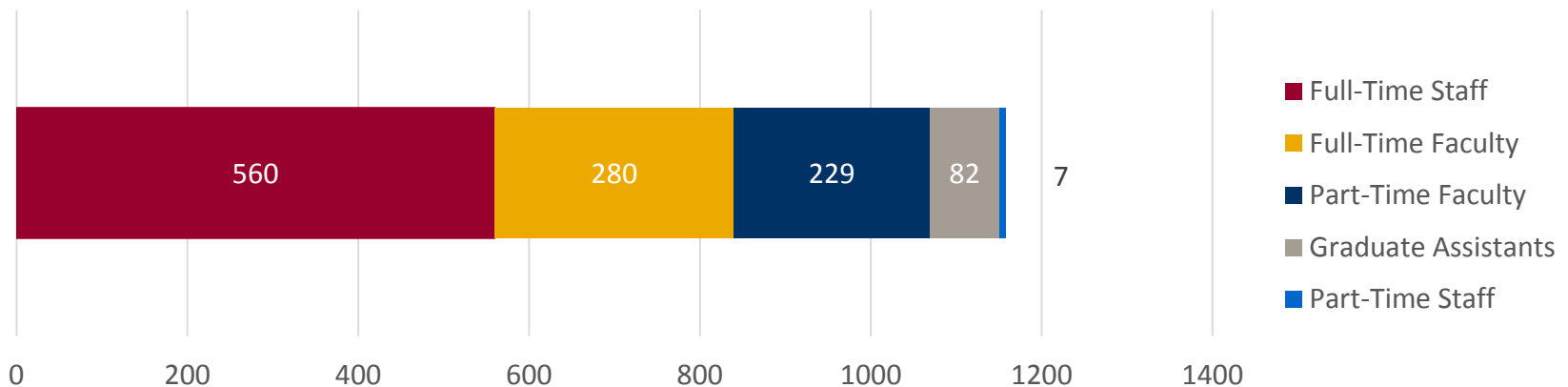
Outstanding Debt

	Original Principal Issue Amount	Principal Balance Remaining 06/30/17	FY2018 Annual Debt Service	FY2019 Annual Debt Service	FY2020 Annual Debt Service	FY2021 Annual Debt Service	FY2022 Annual Debt Service	FY2023-2029 Annual Debt Service
<u>NOTES PAYABLE</u>								
Note Payable to Aramark (To upfit dining facilities in the DiGiorgio Campus Center - 2009) Repayment Source - Cafeteria Fees	\$ 3,050,000	455,000	455,000	0	0	0	0	0
Subtotal Notes Payable	3,050,000	455,000	455,000	0	0	0	0	0
<u>STATE MASTER LEASE PROGRAM</u>								
Repayment Source - Student Debt Service Fees								
\$2M FY12 Scientific Equip M Lease (To purchase certain biology and chemistry scientific equipment)	2,000,000	581,064	304,465	304,465	0	0	0	0
\$2M FY13 Instructional Equip M Lease (To purchase instructional equipment and software)	2,000,000	895,062	312,976	312,976	311,222	0	0	0
Subtotal Master Leases Payable	4,000,000	1,476,126	617,441	617,441	311,222	0	0	0
TOTAL DEBT SERVICE PAYMENTS	\$ 88,120,000	45,446,126	7,637,960	7,199,682	6,884,852	6,570,042	6,180,037	21,107,436



Employees

Winthrop has 1158 employees and a total annual payroll of \$55.8M



	Authorized	Vacant
State FTEs	442.96	22.5253
Other FTEs	358.02	20.2334
Federal FTEs	6.8	1.1835
Total FTEs	807.78	43.9422



Ratio of FTE Student to Faculty: Fall 2017

College	Total FTE Enrollment*	Faculty FTE	FTE Student/Faculty Ratio
College of Arts and Sciences	2687.8	170.00	15.8
Biology	331.4	22.67	14.6
Chemistry Physics Geology	224.8	19.00	11.8
English	418.3	26.33	15.9
History	157.6	9.00	17.5
Human Nutrition	120.1	7.67	15.7
Interdisciplinary Studies	72.7	3.33	21.8
Mass Communication	137.5	10.67	12.9
Mathematics	294.2	12.33	23.9
Philosophy and Religion	76.8	5.00	15.4
Political Science	82.5	7.33	11.3
Psychology	235.4	16.33	14.4
Social Work	204.1	12.67	16.1
Sociology and Anthropology	161.7	9.67	16.7
World Languages and Cultures	170.8	8.00	21.4
College of Business Administration	804.9	46.67	17.2
Accounting Finance and Economics	262.4	16.00	16.4
Computer Sci Quantitative Methods	201.9	11.00	18.4
Management and Marketing	340.5	19.67	17.3
College of Education	1029.2	78.00	13.2
Counseling Leadership and Ed Studies	320.5	21.33	15.0
Curriculum and Pedagogy	316.4	31.00	10.2
PE Sport and Human Performance	357.8	24.33	14.7
Winthrop Professional Devt	34.5	1.33	25.9
College of Visual and Performing Arts	572.4	69.67	8.2
Design	84.6	9.67	8.7
Fine Arts	212.9	20.00	10.6
Music	166.3	23.67	7.0
Theater and Dance	108.6	16.33	6.7
University College	218.3	10.33	21.1
Grand Total	5312.5	374.67	14.2

By course subject,
FTE as defined by
CHE (UG CHP/15 + GR
CHP/12). Source:
CHEMIS and
Institutional Data
Files.

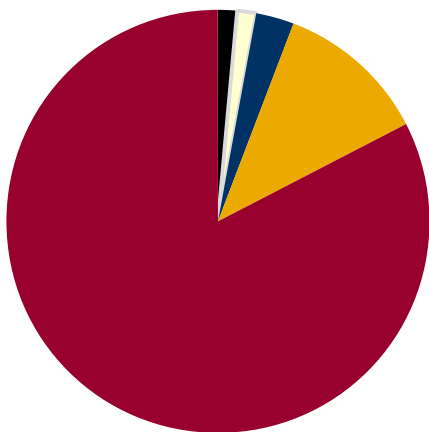
*Estimated. Official
FTE enrollment has
not been published
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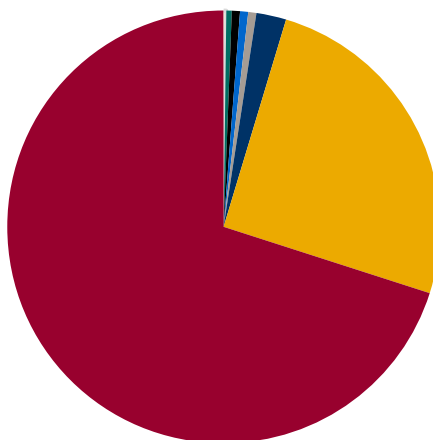
Minority Representation

Administration
Headcount by
Race/Ethnicity



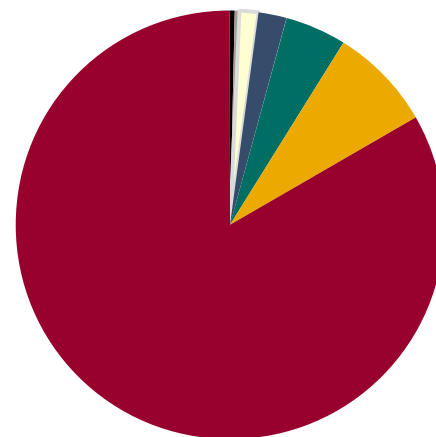
- American Indian/Alaskan, 1
- International, 1
- Hispanic, 2
- Black or African American, 8
- White, 57

Staff Headcount
by Race/Ethnicity



- International, 1
- Asian, 2
- American Indian/Alaskan, 3
- Two or More, 3
- Native Hawaiian/Pacific Islander, 3
- Hispanic, 11
- Black or African American, 125
- White, 346

Faculty Headcount
by Race/Ethnicity



- American Indian/Alaskan, 1
- Native Hawaiian/Pacific Islander, 1
- International, 4
- Hispanic, 6
- Asian, 13
- Black or African American, 22
- White, 235



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The Winthrop Plan: Diversity Objectives

1.4 Refine and implement a long-term student recruitment plan with an emphasis on expanding our reach domestically, both inside and outside South Carolina, and internationally while increasing diversity.

3.1 Develop innovative approaches for increasing the diversity of the candidate pools for all faculty and staff positions and increase our success for attracting, hiring, and retaining diverse employees.



The Winthrop Plan: Diversity Initiatives

- Appointed a Chief Diversity Officer, Ms. Zan C. Jones
- Appointed an Assistant Dean for Student Diversity and Inclusive Excellence, Ms. Kinyata A. Brown
- Required all members serving on executive level searches to participate in implicit bias training
- The Chief Diversity Officer meets with executive search firms to discuss strategies for attracting diverse applicants
- Resources, such as a list of minority-serving institutions that offer doctoral programs, are provided to faculty search chairs
- Membership with the Higher Education Recruitment Consortium (HERC)



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The Winthrop Plan: Recent African American Hires

- Associate Dean, College of Visual and Performing Arts
- Executive Director of Sponsored Programs and Research
- Director of Technology Services
- Professor of Political Science
- Assistant Professor of Exercise Science
- Assistant Professor of Business Administration
- Director of Residence Life
- Admissions Counselor (3 hired)
- Head Women's Basketball Coach
- Assistant Women's Basketball Coach (2 hired)



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Thank you

