
Fiscal Year 2017-2018 Accountability Report

SUBMISSION FORM

AGENCY MISSION

[Winthrop University](#) provides personalized and challenging undergraduate, graduate, and continuing professional education programs of national caliber within a context dedicated to public service to the nation and to the State of South Carolina.

Winthrop's longtime commitment to be among the very best institutions of its kind in the nation continually guides the mission of the university.

Building on its 19th century origins as a distinctive women's college, the Winthrop University of the 21st century is achieving national stature as a competitive and distinctive, co-educational, public, residential comprehensive, values-oriented institution. The values of service, excellence, diversity, community, and leadership provide the foundation for Winthrop's continuing development and shape Winthrop's continuing success.

Winthrop enrolls an achievement-oriented, culturally diverse and socially responsible student body between 6,500 and 7,000 students. The University recruits South Carolina's most able students as well as highly qualified students from beyond the state whose presence adds diversity and enrichment to the campus and the state. Winthrop prides itself on being an institution of choice for groups traditionally under-represented on many college campuses.

Winthrop is located in a traditional setting of exceptional beauty, and provides a contemporary, collaborative, and supportive environment that fosters engaged student learning and development. Winthrop has a diverse and able faculty and professional staff of national caliber and supports their work as effective teachers, scholars, researchers, practitioners, and creative artists. Through this talented group, Winthrop students acquire and develop knowledge, skills, capabilities and values that enrich their lives and prepare them to meet the needs and challenges of the contemporary world, including the ability to communicate effectively, appreciate diversity, work collaboratively, synthesize knowledge, solve complex problems and adapt to change. Ongoing assessment of programs and services ensures both that all academic programs challenge students at their highest level of ability and that the library, instructional technology and other academic service areas support courses of study that are consonant with best practices. As a result, Winthrop graduates are eminently well prepared to enter the most competitive graduate or professional schools as well as to be leaders in their chosen professions and in their communities.

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AGENCY VISION “[Winthrop will be a national model](#) for providing a supportive, high quality and affordable educational experience that has a positive impact on students and the community. “

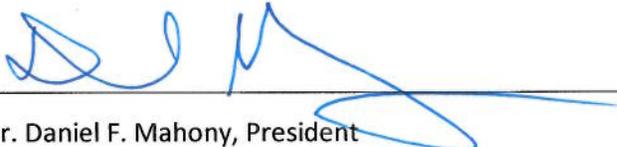
Please select yes or no if the agency has any major or minor (internal or external) recommendations that would allow the agency to operate more effectively and efficiently.

	Yes	No
RESTRUCTURING RECOMMENDATIONS:	<input type="checkbox"/>	X

Please identify your agency’s preferred contacts for this year’s accountability report.

	<i>Name</i>	<i>Phone</i>	<i>Email</i>
PRIMARY CONTACT:	Karen C. Jones	803-323-3708	jonesk@winthrop.edu
SECONDARY CONTACT:	Maria Linn	803-323-3928	linnm@winthrop.edu

I have reviewed and approved the enclosed FY 2016-2017 Accountability Report, which is complete and accurate to the extent of my knowledge.

AGENCY DIRECTOR (SIGN AND DATE):  9/10/18
(TYPE OR PRINT NAME): Dr. Daniel F. Mahony, President

BOARD/CMSN. CHAIR (SIGN AND DATE):  9/10/18
(TYPE OR PRINT NAME): Mr. Glenn McCall, Board of Trustee Chair

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AGENCY’S DISCUSSION AND ANALYSIS

Winthrop University offers quality and access while promoting individual achievement and the greater good, recognizing that higher education serves three primary, interrelated purposes:

- To fuel the economic engines of South Carolina and of the nation through workforce development and entrepreneurship;
- To prepare individuals for democratic citizenship and social responsibility through exposure to the liberal arts, diverse people and experiences, and challenges that develop higher levels of intellectual, ethical, and moral reasoning; and
- To promote professional success and social mobility by recognizing the fundamental connection between quality of education and equality of opportunity.

Winthrop University engages in continuous, institution-wide, research-based planning and evaluation that includes senior and mid-level leadership in academic, administrative, and student support service units. Winthrop’s annual planning cycle is synchronized with South Carolina’s Fiscal Year, July 1 through June 30.

Winthrop’s 2017-18 State Accountability Report provides an overview of performance and achievements related to meeting institutional goals, complying with state and federal regulations, fulfilling regional accreditation standards ([SACSCOC](#)), and supporting [South Carolina’s Statewide Enterprise Strategic Objectives](#), specifically Objective #1 – Education, Training, and Human Development. Examples of the University’s 2017-18 achievements span all campus divisions and are contextualized by the five goals of [The Winthrop Plan](#), the institution’s strategic plan.

Goal 1: Support inclusive excellence by expanding our impact on students and our communities through enrollment growth and increases in retention and graduation rates. (Supports South Carolina Statewide Enterprise Strategic *Objective #1 – Education, Training, and Human Development* and *Objective #4 – Public Infrastructure and Economic Development*.)

- Winthrop ranked 8th among Southern public universities in the [U.S. News & World Report’s](#) edition of America’s Best Colleges. The three-year average first-year student retention rate remained at 75% and the 6-year graduation rate increased for the second year in a row by three percentage points to 58%. Additionally, Winthrop was in the top twenty institutions on the list of Best Colleges for Veterans.
- Student enrollment remains stable with growth in several new programmatic areas including online offerings.
- Education Trust’s national report, “A Look at Black Student Success: Identifying Top- and Bottom-Performing Institutions,” listed Winthrop University as #2 in top-performing institutions for graduating [black students](#) in the 2012, 2013, and 2014 academic years. Winthrop’s black

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student average six-year graduation rate of 59.7% is 5.1 percentage points higher than the average graduation rate of the institution’s white students and 14 percentage points above the national black student average graduation rate.

- Over 400 undergraduate students were engaged in undergraduate research. More than 250 of these students, representing at least 30 departments and programs from all five colleges, presented or performed their scholarly and creative work in the annual SOURCE ([Showcase of Undergraduate Research and Creative Endeavors](#)) event on the Winthrop campus.
- [Winthrop’s Ronald E. McNair Postbaccalaureate Achievement Program](#) received a third round of funding from the U.S. Department of Education; \$232,265 each year for five years. The McNair Scholars program serves approximately 30 first generation, low-income, and underrepresented undergraduates and prepares them to be successful in Ph.D. programs.
- Winthrop University, the Citadel, and Coastal Carolina University joined Clemson University to form the [Consortium for Innovative Educational Practice](#). This allows for students graduating from Winthrop’s new Ed.S. in Educational Leadership to meet all the prerequisites for Clemson’s new Ed.D. in Educational Systems Improvement Studies.

Goal 2: Continually enhance the quality of the Winthrop experience for all students by promoting a culture of innovation, with an emphasis on global and community engagement. (Supports South Carolina Statewide Enterprise Strategic **Objective #1 – Education, Training, and Human Development.**)

- The Winthrop Decision 2016 Initiative resulted in a Winthrop student voter turnout of 58.1%, which surpassed the national average for college students of 50.4%. These efforts resulted in Winthrop’s designation as a [Voter Friendly Campus](#), one of only two in the state.
- The B.S. in Special Education received national recognition by its specialty professional organization, the Council for Exceptional Children (CEC), in February 2018; and the M.Ed. in Literacy program received national recognition by the International Literacy Association in August 2017.
- Winthrop’s College of Business Administration collaborated with PricewaterhouseCoopers (PwC), one of one of the world’s largest professional services firms, to create a professional development program that assists underrepresented students pursuing careers in accounting. The Accounting Mentorship and Professional Development (AMPD) program provides participants with professional development sessions, tutoring, cohort accounting classes, access to Becker Professional Education’s CPA Exam Review, a PwC mentor (sophomore through senior years), ongoing scholarship support and an automatic invitation to a PwC Freshman Externship.
- Winthrop became an initial adopter of the Institute for International Education’s Generation Study Abroad Initiative in support of doubling and diversifying the number of U.S. students studying abroad by the end of the decade.

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Goal 3: Attract and retain high quality and diverse faculty, staff, and administrators. (Supports South Carolina Statewide Enterprise Strategic *Objective #1 – Education, Training, and Human Development.*)

- A national search for an academic dean resulted in the selection of Dr. Adrienne McCormick as [Dean of the College of Arts and Sciences](#). Dr. McCormick possesses substantial experience in teaching, scholarship, and administration.
- Dr. Takita F. Sumter, professor of biochemistry, was named Vice Provost for Faculty Affairs.
- Two national searches for vice presidents, one for Finance and Business and one for Student Affairs culminated in the selection of Justin T. Oates, Vice President for Finance and Business Affairs/CFO, and Sheila Burkhalter, Vice President for Student Affairs. Both candidates bring a commitment to fiscal stewardship, collaboration, and communication.
- An [Employee Satisfaction Survey](#) assessing the level of faculty, staff, and administrator engagement and enablement was administered. The results will be further explored via focus groups to better understand how the university can retain high quality and diverse employees.

Goal 4: Provide facilities, technology, and programs that support Winthrop students and the overall Winthrop experience. (Supports South Carolina Statewide Enterprise Strategic *Objective #4 – Public Infrastructure and Economic Development.*)

- Extensive repairs and replacements to the roofs of campus buildings, many of which are recognized by the National Register of Historic Places, progressed in 2017-18. Additional maintenance and repair studies were completed and a 5-year capital plan for campus buildings was created. An architectural firm has been hired to update the Campus Master Plan.
- [Instructional technology](#) upgrades were made to 39 classrooms, including updated computers, projectors, interactive collaboration tools, and WiFi, which exceeded the target set.
- A new Fire Alarm Central Station was installed.

Goal 5: Ensure financial stability and sustainability. (Supports South Carolina Statewide Enterprise Strategic *Objective #1 – Education, Training, and Human Development.*)

- The amount of scholarship and grant dollars provided to students from the University and Foundation continues to grow and exceed targets set within The Winthrop Plan.
- Total endowment assets reached \$51.4 million in fiscal year 2017.
- The institutional debt ratio continues on a positive downward trend.

Risk Assessment and Mitigation Strategies

A failure of Winthrop University to accomplish its mission and goals could **negatively impact the student educational experience and potentially weaken the pool of qualified candidates that support the workforce of the State of South Carolina**. Given that 91% of Winthrop undergraduates are from South Carolina and approximately 41% of Winthrop undergraduates are eligible for federal Pell grants, a

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diminished educational experience would negatively impact South Carolina’s historically underserved populations, the very citizens the State of South Carolina identifies as needing the most support to advance their education in order to compete for employment opportunities that provide a living wage.

The University employs mitigating strategies focused on enhancing operational efficiency, managing costs, sustaining effectiveness, emphasizing forward-thinking, and remaining current with education trends. These strategies are supported by the following efforts:

- The development ([2015-16](#)) and implementation ([2016-17](#)) of the Winthrop Plan, which serves as a blueprint for the university through 2025, outlines the five goals and initiatives that Winthrop will achieve to **drive enrollment, retention, student success, diversity and fundraising** in order to become a **national model for a student-centered university experience**.
- Winthrop University remains compliant with standards of the institution’s regional accrediting body, the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC). In July 2017, [SACSCOC](#) approved the University’s Fifth-Year Interim Report and the Quality Enhancement Plan Impact Report, with no request for additional reporting. Accreditation by SACSCOC signifies that the institution has a mission appropriate to higher education; has resources, programs, and services sufficient to accomplish and sustain its mission; maintains clearly specified educational objectives that are consistent with its mission and appropriate to the degrees offered; and assesses its achievement of stated objectives.
- Winthrop University retains [program-specific accreditation](#) from professional academic bodies for 18 academic programs, thus ensuring the quality of its educational programs. The institution has traditionally sought and received national accreditation for degree programs that maintain programmatic accreditation standards.

Supportive actions by the State that could help to mitigate a negatively impact on the student educational experience include:

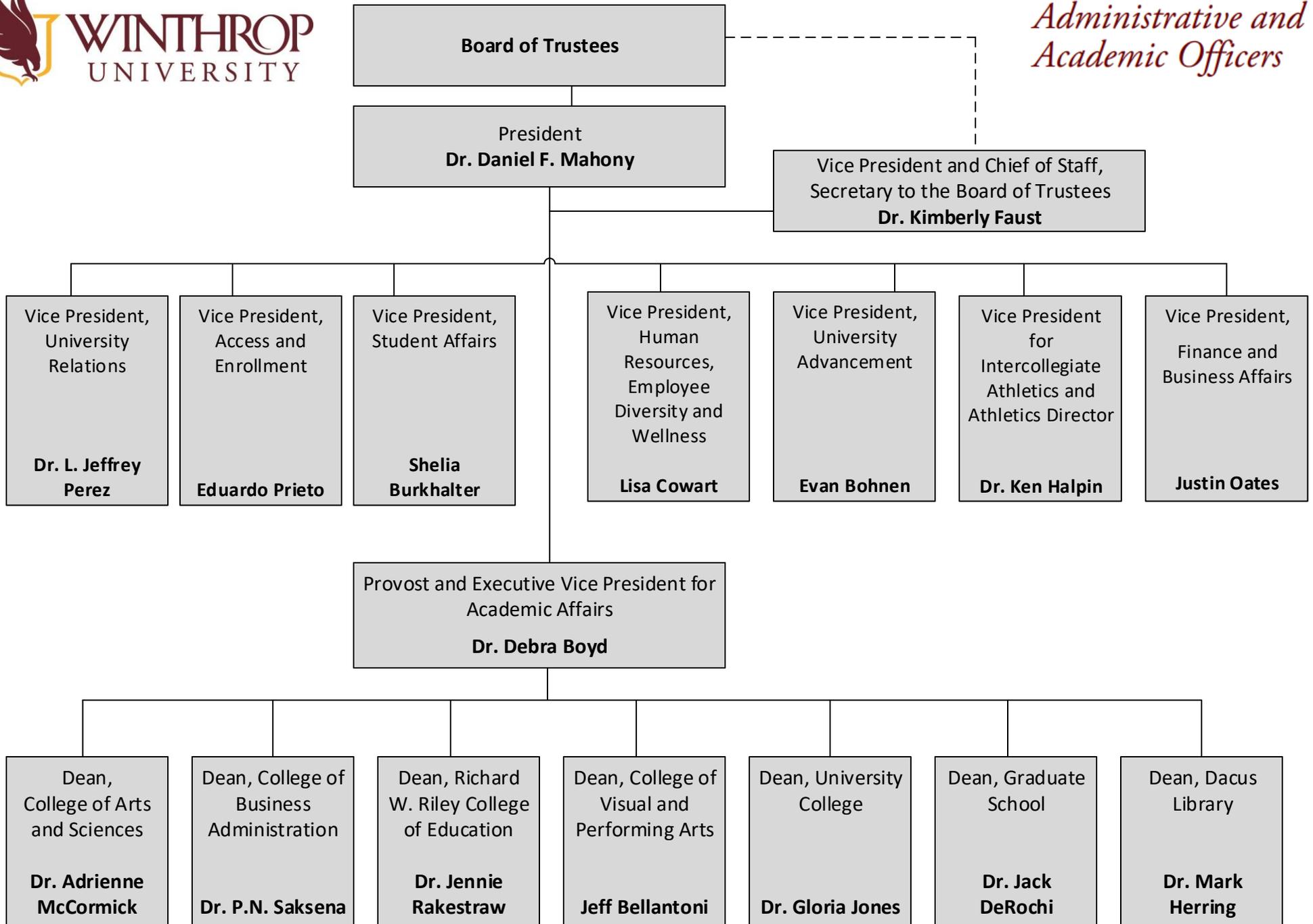
- Restore the proportion of state appropriations to Winthrop University to pre-2008 recession levels. This action would enable Winthrop to minimize fiscal impact upon students relative to tuition, fees, room, and board, thereby supporting Winthrop’s goals to increase access, degree attainment, and inclusive excellence in learning experiences for all students.
- Fully fund salary increases for state employees. Cost of living increases that are mandated by the General Assembly, but not fully funded create significant challenges in balancing the institution’s budget. The increased budgetary strain on the University has the potential to impact the quality of the student educational experience.
- Reduce institutional labor costs by eliminating reporting obligations that involve redundancy across state agencies.

Restructuring Recommendations

Winthrop University responded *NO* to the Restructuring Recommendation box on the Submission Form.



Administrative and Academic Officers



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Strategic Planning and Performance Measurement Template

Statewide Enterprise Strategic Objective	Type	Item #			Description	2017-18			Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
		Goal	Strategy	Measure		Base	Target	Actual				
Public Infrastructure and Economic Development	G	1			Support inclusive excellence by expanding our impact on students and our communities through enrollment growth and increases in retention and graduation rates.							
	S	1.1			Improve student success with new and refined co-curricular programs & services that emphasize measurable impacts on retention and graduation rates.							
	M		1.1.1	Retention Rate	73.3%	78%	76.6%	10/1/16-9/1/17	Internal retention reports & S.C. Commission on Higher Education Management Information System reports	Percentage of the Fall 2016 cohort of first-time, full-time freshmen who were enrolled as of the retention census date of Fall 2017	Associated with the following aspect of the mission: Winthrop "provides a contemporary, collaborative, supportive environment that fosters engaged student learning and development." Retention rate is one indicator of support for continuing student learning and engagement.	
	M		1.1.2	Graduation Rate	58.2%	56%	57.1%	Enrolled as of 10/1/11, graduated as of 8/31/17	Consortium for Student Retention Data Exchange (CSRDE) report	Percentage of the Fall 2011 cohort of first-time, full-time freshmen who earned a baccalaureate degree at Winthrop by August 2017	Associated with the following aspect of the mission: "Winthrop students acquire and develop knowledge, skills, capabilities and values that enrich their lives and prepare them to meet the needs and challenges of the contemporary world." Graduation rate is one measure of student achievement.	
	S	1.2			Enrich our academic program mix by developing new & innovative programs (degree, certificate, continuing education) & by refining existing academic programs to meet the emerging needs and interests of diverse student populations and the community.							
	M		1.2.1	Student placement rate	90%	90.5%	91%	Graduates from 2017-18 and 2015-17	Annual Graduating Senior Survey and Biennial Alumni Survey	Percentage of new graduates who are employed, enrolled in advanced education, and/or serving in the military.	Associated with the following aspect of the mission: "Winthrop graduates are eminently well prepared to enter the most competitive graduate or professional schools as well as to be leaders in their chosen professions and in their communities." Placement rate is one indicator of graduates' adequate preparation for careers and advanced education.	
	S	1.3			Develop and implement a clear marketing strategy that refines and highlights the university's competitive advantages.							
	M		1.3.1	Total headcount enrollment	6109	6120	6073	10/1/2017	Internal enrollment reports and Commission on Higher Education Management Information System data	Number of undergraduate and graduate students enrolled	Associated with the following aspect of the mission: "Winthrop enrolls an achievement-oriented, culturally diverse and socially responsible student body." Enrollment headcount is monitored to ensure the University is serving a number of students that is appropriate to its mission and providing appropriate student access.	
	S	1.4			Refine & implement a long-term student recruitment plan with an emphasis on expanding our reach domestically, both inside and outside South Carolina, and internationally, while increasing diversity.							
	M		1.4.1	Percentage of students who are AALANA/Asian/International	40%	40%	39.7%	10/1/2017	Integrated Postsecondary Data System (IPEDS)	Percentage of enrolled students who self-identify as members of an under-represented racial/ethnic minority or are international	Associated with the following aspect of the mission: "Winthrop prides itself on being an institution of choice for groups traditionally under-represented on many college campuses." This metric allows the institution to monitor its level of access to under-represented minority students.	
Education, Training, and Human Development	G	2			Continually enhance the quality of the Winthrop experience for all students by promoting a culture of innovation, with an emphasis on global and community engagement.							
	S	2.1			Develop new programs and enhance current ones that have the potential to be national models.							

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		Goal	Strategy	Measure		Base	Target	Actual				
	M		2.1.1		Number of new and substantially redesigned programs in support of goals	3	5	8	2017-18 academic year	Curriculum Action System	Number of new and substantially revised programs approved by Faculty Governance and CHE during the academic year	Associated with the following aspect of the mission: "Ongoing assessment of programs...ensures...that all academic programs challenge students at their highest level of ability." This metric ensures a continued, intentional, future-looking review of the quality of the University's degree programs.
	S		2.2		Increase opportunities for students, faculty, & staff to interact with organizations in the community, with an emphasis on enhancing our impact on the surrounding community.							
	M		2.2.1		Percentage of undergraduates who graduate with at least two High Impact Practices	79%	79%	70%	spring 2016	National Survey of Student Engagement, administered in even years	Percentage of senior respondents to the NSSE who participated in two or more of the following HIPs while at Winthrop: a learning community, course that included a service-learning project, research project with a faculty member, internship/co-op/practicum, study abroad, and/or capstone course.	Associated with the following aspect of the mission: "Winthrop students acquire and develop...the ability to communicate effectively, appreciate diversity, work collaboratively, synthesize knowledge, solve complex problems and adapt to change." Student involvement in High Impact Practices (HIPs) is an indicator of the extent of student engagement in learning activities that extend beyond the classroom.
	M		2.2.2		Student/faculty engagement indicator	45%	45%	49%	spring 2016	National Survey of Student Engagement, administered in even years	Average percentage of senior respondents to the NSSE who responded affirmatively to questions related to student-faculty interaction, including work and discussions outside of class.	Associated with the following aspect of the mission: "Winthrop provides personalized...programs...[and] has a diverse and able faculty and professional staff of national caliber." This metric speaks to the personalized nature of the Winthrop student experience in terms of quantity and quality of interactions with faculty.
	S		2.3		Maintain and enhance the university's commitment fo global learning.							
	M		2.3.1		NSSE diversity indicator	78%	78%	79%	spring 2016	National Survey of Student Engagement, administered in even years	Average percentage of senior respondents to the NSSE who responded affirmatively to questions related to discussions with diverse others.	Associated with the following aspect of the mission: "The University recruits...students...whose presence adds diversity and enrichment to the campus and the state." NSSE results shed light on diversity beyond the number of diverse students to encompass the impact of diversity on the interactions and experiences of all students.
Education, Training, and Human Development	G		3		Attract and retain high quality and diverse faculty, staff, and administrators.							
	S		3.1		Develop innovative approaches for increasing the diversity of the candidate pools for all faculty and staff positions and for increasing our success in attracting, hiring, and retaining diverse employees.							
	M		3.1.1		Percentage of faculty/managerial staff who are AALANA/Asian/international	16%	15%	18.1%	11/1/2017	IPEDS-HR data	Percentage of full-time instructional faculty and managerial staff who self-identify as members of an under-represented racial/ethnic minority or are international	Associated with the following aspect of the mission: "Winthrop has a diverse and able faculty and professional staff of national caliber." This metric is monitored to improve faculty and administrator diversity to reflect the diversity of the student body.
	S		3.2		Develop and implement a long-term competitive compensation plan.							
	M		3.2.1		Percentage of full-time employees with salaries at or above the median	36%	35%	35%	Official fall HR census date: 11/1/17 and 12/1/17 for non-exempt	CUPA-HR	Based on CUPA-HR's salary medians for Winthrop's regional comparison group, based on CUPA-HR job codes for staff and administrators and rank, tenure status, and discipline codes for instructional faculty.	Associated with the following aspect of the mission: Winthrop "supports [faculty/staff] work as effective teachers, scholars, researchers, practitioners, and creative artists." Study of salaries in comparison with peer institutions ensures the University is appropriately supporting and valuing the work of its faculty and staff.

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		Goal	Strategy	Measure		Base	Target	Actual				
	S	3.3			Enhance professional development and training opportunities for faculty, staff, and administrators that increase the potential of the university to reach the various goals in the strategic plan.							
	M		3.3.1		Employee satisfaction based on one or more rating systems	51%	53%	61%	2017-18	Employee satisfaction survey administered by HR in 2017-18	Percentage of respondents who were satisfied with their overall experience as employees	Associated with the following aspect of the mission: "Winthrop has a diverse and able faculty and professional staff of national caliber and supports their work." Monitoring self-reported satisfaction of faculty and staff is a means of determining whether they feel their work is being adequately supported.
Public Infrastructure and Economic Development	G	4			Provide facilities, technology, and programs that support Winthrop students and the overall Winthrop experience.							
	S	4.1			Increase the use of technology in order to provide more programs and enhance access through alternative delivery methods while maintaining the quality of the Winthrop experience.							
	M		4.1.1		Number of online/hybrid programs	4	5	7	2017-18 academic year	Records & Registration	The number of programs delivered fully online or 50-99% online (hybrid).	Associated with the following aspect of the mission: "Winthrop University provides personalized and challenging undergraduate, graduate, and continuing professional development education programs of national caliber." Programs delivered fully or partially online are designed to provide various subpopulations of students with the increased flexibility needed to meet their education needs.
	M		4.1.2		Student satisfaction with support services	≥80%	80%	≥80%	2017-18 academic year	Graduating Senior Survey	Minimum percentage of respondents who report satisfaction with one of 21 support services	Associated with the following aspect of the mission: "Ongoing assessment of programs and services ensures...that the library, instructional technology and other academic service areas support courses of study that are consonant with best practices." This metric is an indication of the extent to which the institution is meeting the needs of students and, thus, supporting their educational experience.
	S	4.2			Develop and initiate a campus master plan that focuses both on maintenance and new construction.							
	M		4.2.1		Expenditures on facilities	\$11.6M	\$13.5M	\$13.5M	fiscal year 2017	IPEDS Finance	Expenses related to maintaining and improving facilities, as reported to IPEDS.	Associated with the following aspect of the mission: "Winthrop is located in a traditional setting of exceptional beauty." Financial investment in the institution's facilities and grounds is one indicator of its commitment to preserving and maintaining the campus's physical beauty and enhancing the student experience.
	S	4.3			Identify critical facility renovations with a high impact on the student experience and raise money to support those renovations.							
	M		4.3.1		Number of classrooms with upgraded technology	28	5	39	fiscal year 2018	Information Technology & Computing Services	Number of academic spaces that had upgraded computing and/or projecting equipment installed during the fiscal year.	Associated with the following aspect of the mission: Winthrop "provides a contemporary, collaborative, support environment that fosters engaged student learning and development." This metric is an indication of the extent to which classroom environments are equipped with up-to-date technology to support effective collaboration, teaching, and learning.
Education, Training, and Human Development	G	5			Ensure financial stability and sustainability.							

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		Goal	Strategy	Measure		Base	Target	Actual				
	S	5.1			Develop and implement innovative approaches to fundraising.							
	M		5.1.1		Total endowment assets	\$42.8M	\$45M	\$51.4M	fiscal year 2017	IPEDS Finance	The amount of gross investments of endowments for Winthrop and the Winthrop Foundation.	Associated with the following aspect of the mission: "Winthrop's longtime commitment to be among the very best institutions of its kind in the nation." The health of the endowment and investments are monitored in order to ensure the high quality of the institution over the long-term.
	S	5.2			Emphasize raising scholarship dollars to support the needs of our students.							
	M		5.2.1		Scholarship/grant dollars provided to students from University and Foundation	\$18.6M	\$16.8M	\$20M	fiscal year 2017	Office of Finance & Business	Scholarship funds disbursed to students from the University and the Foundation in FY.	Associated with the following aspect of the mission: "The University recruits South Carolina's most able students as well as highly qualified students from beyond the state" Scholarships and grants help provide higher education access for students with financial need.
	S	5.3			Increase fundraising efforts related to facilities and technology/equipment that will enhance the student experience.							
	M		5.3.1		Unrestricted gift revenues	\$567,786	\$500K	\$270,147	calendar year 2017		Unrestricted gifts and investment returns earned from general, unspecified gifts to the University during the calendar year.	Associated with the following aspect of the mission: "Winthrop's longtime commitment to be among the very best institutions of its kind in the nation." The health of the endowment and investments are monitored in order to ensure the high quality of the institution over the long-term.
	S	5.4			Build a network of alumni who will be donors, volunteers, and leaders, advancing the Winthrop experience and its impact on the world.							
	M		5.4.1		Alumni giving rate	8.6%	9.5%	7%	fiscal year 2017	Office of Alumni Relations	Number of alumni donors in the fiscal year divided by number of alumni solicited in same FY.	Associated with the following aspect of the mission: "Winthrop graduates are eminently well prepared...to be leaders...in their communities." The alumni giving rate is an indication of graduates' success and their investment in Winthrop's values.
	S	5.5			Increase fundraising capacity by expanding the number of people actively engaged in advancement efforts including students, faculty, staff, alumni, and friends.							
	S	5.6			Develop a new budget model for the university that is more transparent and more clearly aligns with institutional goals.							
	S	5.7			Maintain and enhance fiscal integrity by decreasing institutional debt as a portion of total assets.							
	M		5.7.1		Debt ratio	0.529	0.515	0.48	fiscal year 2017	Office of Finance & Business	Debt ratio is calculated by dividing debts by assets (current and capital).	Associated with the following aspect of the mission: Winthrop provides "education programs of national caliber within a context dedicated to public service to the nation and to the State of South Carolina." Managing the debt ratio ensures the long-term financial sustainability of the institution.

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		Goal	Strategy	Measure		Base	Target	Actual				
Education, Training, and Human Development	G	1			Support inclusive excellence by expanding our impact on students and our communities through enrollment growth and increases in retention and graduation rates.							
	S	1.1			Improve student success with new and refined co-curricular programs & services that emphasize measurable impacts on retention and graduation rates.							
	M		1.1.1	Retention Rate	76.6%	78%		10/1/17 - 9/1/18	Internal retention reports & S.C. Commission on Higher Education Management Information System reports	Percentage of the Fall 2017 cohort of first-time, full-time freshmen who were enrolled as of the retention census date of Fall 2018	Associated with the following aspect of the mission: Winthrop "provides a contemporary, collaborative, supportive environment that fosters engaged student learning and development." Retention rate is one indicator of support for continuing student learning and engagement.	
	-		1.1.2	Graduation Rate	57.1%	58%		Enrolled as of 10/1/12, graduated as of 8/31/18	Consortium for Student Retention Data Exchange (CSRDE) report	Percentage of the Fall 2012 cohort of first-time, full-time freshmen who earned a baccalaureate degree at Winthrop by August 2018	Associated with the following aspect of the mission: "Winthrop students acquire and develop knowledge, skills, capabilities and values that enrich their lives and prepare them to meet the needs and challenges of the contemporary world." Graduation rate is one measure of student achievement.	
	S	1.2			Enrich our academic program mix by developing new & innovative programs (degree, certificate, continuing education) & by refining existing academic programs to meet the emerging needs and interests of diverse student populations and the community.							
	M		1.2.1	Student placement rate	91%	92%		Graduates from 2018-19 and 2015-17	Annual Graduating Senior Survey and Biennial Alumni Survey	Percentage of new graduates who are employed, enrolled in advanced education, and/or serving in the military.	Associated with the following aspect of the mission: "Winthrop graduates are eminently well prepared to enter the most competitive graduate or professional schools as well as to be leaders in their chosen professions and in their communities." Placement rate is one indicator of graduates' adequate preparation for careers and advanced education.	
	S	1.3			Develop and implement a clear marketing strategy that refines and highlights the university's competitive advantages.							
	M		1.3.1	Total headcount enrollment	6073	6150		10/1/2018	Internal enrollment reports and Commission on Higher Education Management Information System data	Number of undergraduate and graduate students enrolled	Associated with the following aspect of the mission: "Winthrop enrolls an achievement-oriented, culturally diverse and socially responsible student body." Enrollment headcount is monitored to ensure the University is serving a number of students that is appropriate to its mission and providing appropriate student access.	
	S	1.4			Refine & implement a long-term student recruitment plan with an emphasis on expanding our reach domestically, both inside and outside South Carolina, and internationally, while increasing diversity.							
	M		1.4.1	Percentage of students who are AALANA/Asian/International	39.7%	41%		10/1/2018	Integrated Postsecondary Data System (IPEDS)	Percentage of enrolled students who self identify as members of an under-represented racial/ethnic minority or are international	Associated with the following aspect of the mission: "Winthrop prides itself on being an institution of choice for groups traditionally under-represented on many college campuses." This metric allows the institution to monitor its level of access to under-represented minority students.	
Education, Training, and Human Development	G	2			Continually enhance the quality of the Winthrop experience for all students by promoting a culture of innovation, with an emphasis on global and community engagement.							
	S	2.1			Develop new programs and enhance current ones that have the potential to be national models.							

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Strategic Planning and Performance Measurement Template

Statewide Enterprise Strategic Objective	Type	Item #			Description	2018-19			Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
		Goal	Strategy	Measure		Base	Target	Actual				
	M		2.1.1		Number of new and substantially redesigned programs in support of goals	8	7		2018-19 academic year	Curriculum Action System	Number of new and substantially revised programs approved by Faculty Governance and CHE during the academic year	Associated with the following aspect of the mission: "Ongoing assessment of programs...ensures...that all academic programs challenge students at their highest level of ability." This metric ensures a continued, intentional, future-looking review of the quality of the University's degree programs.
	S		2.2		Increase opportunities for students, faculty, & staff to interact with organizations in the community, with an emphasis on enhancing our impact on the surrounding community.							
	M		2.2.1		Percentage of undergraduates who graduate with at least two High Impact Practices	70%	79%		spring 2018	National Survey of Student Engagement, administered in even years	Percentage of senior respondents to the NSSE who participated in two or more of the following HIPs while at Winthrop: a learning community, course that included a service-learning project, research project with a faculty member, internship/co-op/practicum, study abroad, and/or capstone course.	Associated with the following aspect of the mission: "Winthrop students acquire and develop...the ability to communicate effectively, appreciate diversity, work collaboratively, synthesize knowledge, solve complex problems and adapt to change." Student involvement in High Impact Practices (HIPs) is an indicator of the extent of student engagement in learning activities that extend beyond the classroom.
	M		2.2.2		Student/faculty engagement indicator	49%	50%		spring 2018	National Survey of Student Engagement, administered in even years	Average percentage of senior respondents to the NSSE who responded affirmatively to questions related to student-faculty interaction, including work and discussions outside of class.	Associated with the following aspect of the mission: "Winthrop provides personalized...programs...[and] has a diverse and able faculty and professional staff of national caliber." This metric speaks to the personalized nature of the Winthrop student experience in terms of quantity and quality of interactions with faculty.
	S		2.3		Maintain and enhance the university's commitment to global learning.							
	M		2.3.1		NSSE diversity indicator	79%	80%		spring 2018	National Survey of Student Engagement, administered in even years	Average percentage of senior respondents to the NSSE who responded affirmatively to questions related to discussions with diverse others.	Associated with the following aspect of the mission: "The University recruits...students...whose presence adds diversity and enrichment to the campus and the state." NSSE results shed light on diversity beyond the number of diverse students to encompass the impact of diversity on the interactions and experiences of all students.
Education, Training, and Human Development	G				Attract and retain high quality and diverse faculty, staff, and administrators.							
	S		3.1		Develop innovative approaches for increasing the diversity of the candidate pools for all faculty and staff positions and for increasing our success in attracting, hiring, and retaining diverse employees.							
	M		3.1.1		Percentage of faculty/managerial staff who are AALANA/Asian/international	18.1%	17%		11/1/2018	IPEDS-HR data	Percentage of full-time instructional faculty and managerial staff who self-identify as members of an under-represented racial/ethnic minority or are international	Associated with the following aspect of the mission: "Winthrop has a diverse and able faculty and professional staff of national caliber." This metric is monitored to improve faculty and administrator diversity to reflect the diversity of the student body.
	S		3.2		Develop and implement a long-term competitive compensation plan.							

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Statewide Enterprise Strategic Objective	Type	Item #			Description	2018-19			Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
		Goal	Strategy	Measure		Base	Target	Actual				
	M		3.2.1		Percentage of full-time employees with salaries at or above the median	35%	39%		Official fall HR census date: 11/1/18 and 12/1/18 for non-exempt	CUPA-HR	Based on CUPA-HR's salary medians for Winthrop's regional comparison group, based on CUPA-HR job codes for staff and administrators and rank, tenure status, and discipline codes for instructional faculty.	Associated with the following aspect of the mission: Winthrop "supports [faculty/staff] work as effective teachers, scholars, researchers, practitioners, and creative artists." Study of salaries in comparison with peer institutions ensures the University is appropriately supporting and valuing the work of its faculty and staff.
	S		3.3		Enhance professional development and training opportunities for faculty, staff, and administrators that increase the potential of the university to reach the various goals in the strategic plan.							
	M		3.3.1		Employee satisfaction based on one or more rating systems	61%	54%		2019-20	Employee satisfaction survey administered by HR	Percentage of respondents who were satisfied with their overall experience as employees	Associated with the following aspect of the mission: "Winthrop has a diverse and able faculty and professional staff of national caliber and supports their work." Monitoring self-reported satisfaction of faculty and staff is a means of determining whether they feel their work is being adequately supported.
Education, Training, and Human Development	G		4		Provide facilities, technology, and programs that support Winthrop students and the overall Winthrop experience.							
	S		4.1		Increase the use of technology in order to provide more programs and enhance access through alternative delivery methods while maintaining the quality of the Winthrop experience.							
	M		4.1.1		Number of online/hybrid programs	7	5		2018-19 academic year	Records & Registration	The number of programs delivered fully online or 50-99% online (hybrid).	Associated with the following aspect of the mission: "Winthrop University provides personalized and challenging undergraduate, graduate, and continuing professional development education programs of national caliber." Programs delivered fully or partially online are designed to provide various subpopulations of students with the increased flexibility needed to meet their education needs.
	M		4.1.2		Student satisfaction with support services	≥80%	82%		2018-19 academic year	Graduating Senior Survey	Minimum percentage of respondents who report satisfaction with one of 21 support services	Associated with the following aspect of the mission: "Ongoing assessment of programs and services ensures...that the library, instructional technology and other academic service areas support courses of study that are consonant with best practices." This metric is an indication of the extent to which the institution is meeting the needs of students and, thus, supporting their educational experience.
	S		4.2		Develop and initiate a campus master plan that focuses both on maintenance and new construction.							
	M		4.2.1		Expenditures on facilities	\$13.5M	\$13.75M		fiscal year 2018	IPEDS Finance	Expenses related to maintaining and improving facilities, as reported to IPEDS.	Associated with the following aspect of the mission: "Winthrop is located in a traditional setting of exceptional beauty." Financial investment in the institution's facilities and grounds is one indicator of its commitment to preserving and maintaining the campus's physical beauty and enhancing the student experience.
	S		4.3		Identify critical facility renovations with a high impact on the student experience and raise money to support those renovations.							

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Statewide Enterprise Strategic Objective	Type	Item #			Description	2018-19			Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
		Goal	Strategy	Measure		Base	Target	Actual				
	M		4.3.1		Number of classrooms with upgraded technology	39	38		fiscal year 2019	Information Technology & Computing Services	Number of academic spaces that had upgraded computing and/or projecting equipment installed during the fiscal year.	Associated with the following aspect of the mission: Winthrop "provides a contemporary, collaborative, support environment that fosters engaged student learning and development." This metric in an indication of the extent to which classroom environments are equipped with up-to-date technology to support effective collaboration, teaching, and learning.
Public Infrastructure and Economic Development	G	5			Ensure financial stability and sustainability.							
	S		5.1		Develop and implement innovative approaches to fundraising.							
	M		5.1.1		Total endowment assets	\$51.4M	\$52M		fiscal year 2018	IPEDS Finance	The amount of gross investments of endowments for Winthrop and the Winthrop Foundation.	Associated with the following aspect of the mission: Provide long-term resources to support "Winthrop's longtime commitment to be among the very best institutions of its kind in the nation." The health of the endowment and investments are monitored in order to ensure the high quality of the institution over the long-term.
	S		5.2		Emphasize raising scholarship dollars to support the needs of our students.							
	M		5.2.1		Scholarship/grant dollars provided to students from University and Foundation	\$20M	\$20M		fiscal year 2018	Office of Finance & Business	Scholarship funds disbursed to students from the University and the Foundation in FY.	Associated with the following aspect of the mission: "The University recruits South Carolina's most able students as well as highly qualified students from beyond the state" Scholarships and grants help provide higher education access for students with financial need.
	S		5.3		Increase fundraising efforts related to facilities and technology/equipment that will enhance the student experience.							
	M		5.3.1		Unrestricted gift revenues	\$270,147	\$500K		calendar year 2018		Unrestricted gifts and investment returns earned from general, unspecified gifts to the University during the calendar year.	Associated with the following aspect of the mission: Provide long-term resources to support "Winthrop's longtime commitment to be among the very best institutions of its kind in the nation." The health of the endowment and investments are monitored in order to ensure the high quality of the institution over the long-term.
	S		5.4		Build a network of alumni who will be donors, volunteers, and leaders, advancing the Winthrop experience and its impact on the world.							
	M		5.4.1		Alumni giving rate	7%	8%		fiscal year 2018	Office of Alumni Relations	Number of alumni donors in the fiscal year divided by number of alumni solicited in same FY.	Associated with the following aspect of the mission: "Winthrop graduates are eminently well prepared...to be leaders...in their communities." The alumni giving rate is an indication of graduates' success and their investment in Winthrop's values.
	S		5.5		Increase fundraising capacity by expanding the number of people actively engaged in advancement efforts including students, faculty, staff, alumni, and friends.							
	S		5.6		Develop a new budget model for the university that is more transparent and more clearly aligns with institutional goals.							
	S		5.7		Maintain and enhance fiscal integrity by decreasing institutional debt as a portion of total assets.							
	M		5.7.1		Debt ratio	0.48	0.48		fiscal year 2018	Office of Finance & Business	Debt ratio is calculated by dividing debts by assets (current and capital).	Associated with the following aspect of the mission: Winthrop provides "education programs of national caliber within a context dedicated to public service to the nation and to the State of South Carolina." Managing the debt ratio ensures the long-term financial sustainability of the institution.

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Program Template

Program/Title	Purpose	FY 2017-18 Expenditures (Actual)				FY 2018-19 Expenditures (Projected)				Associated Measure(s)
		General	Other	Federal	TOTAL	General	Other	Federal	TOTAL	
I. Education and General	Includes instruction, research, public service, academic support, student services, scholarships and fellowships, operation and maintenance of plant, Student Direct Lending, CERRA, and Teaching Fellows.	\$ 12,714,743	\$ 84,466,444	\$ 1,904,103	\$ 99,085,290	\$ 13,562,319	\$ 85,250,000	\$ 1,900,000	\$ 100,712,319	1.1.1, 1.1.2, 1.2.1, 1.3.1, 1.4.1, 2.1.1, 2.2.1, 2.2.2, 2.3.1, 4.1.1, 4.1.2, 4.2.1, 4.3.1
II. Auxilliary Enterprise	Includes student housing, health center, cafeteria, bookstore and vending.		\$ 13,322,208		\$ 13,322,208		\$ 13,450,000		\$ 13,450,000	2.1.1, 2.2.1, 2.2.2, 2.3.1
III. Employee Benefits		\$ 3,650,638	\$ 20,880,154	\$ 284,372	\$ 24,815,164	\$ 3,988,283	\$ 21,500,000	\$ 295,000	\$ 25,783,283	3.1.1, 3.2.1, 3.3.1

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Does this law specify who your agency must or may serve? (Y/N)	Does the law specify a product or service your agency must or may provide?	If yes, what type of service or product?	If other service or product, please specify what service or product.
1	59-125	State	Statute	Authorizing legislation for Winthrop University. Establishes the University as an official public institution in the state of South Carolina and sets forth guidelines regarding the composition and operation of the Board of Trustees and operating guidelines for the institution.	Yes	Yes	Other service or product our agency must/may provide	academic programs
2	59-103	State	Statute	Authorizing legislation for Commission on Higher Education. Winthrop University reports annually to the CHE in order to help CHE meet its mission as established in this statute and to fulfill Winthrop University's reporting requirements as a public institution of higher education within the state of South Carolina.	Yes	Yes	Report our agency must/may provide	
3	59-103-35	State	Statute	Subclause in CHE authorizing legislation which stipulates that all colleges and universities are required to submit an annual budget to CHE for review.	Yes	Yes	Report our agency must/may provide	
4	59-103-36	State	Statute	Subclause in CHE authorizing legislation which stipulates that military students must be included in the FTE count of students when reporting headcount for appropriation purposes.	Yes	Yes	Report our agency must/may provide	
5	59-103-30	State	Statute	Subclause in CHE authorizing legislation which stipulates that colleges and universities "must emphasize teaching as a career opportunity" and provide opportunities for students interested in entering the teaching profession to tutor other students at their institution.	Yes	Yes	Other service or product our agency must/may provide	academic programs
6	59-103-160	State	Statute	Subclause in CHE authorizing legislation commonly known as English Fluency in Higher Learning Act. Law stipulates that all state-funded institutions will make sure that all faculty who speak English as a second language demonstrate an "adequate proficiency in both written and spoken English language" and allow students to report grievances about an instructor who is unable to do so. Any grievances must be reported annually to CHE.	Yes	Yes	Other service or product our agency must/may provide	academic programs
7	59-104	State	Statute	Initiatives for Research and Academic Excellence. Sets forth admissions standards by which public institutions, such as Winthrop, must abide. Establishes the Palmetto Fellows Scholarship Program and other programs and awards which relate to Higher Education, many of which Winthrop participates in. Outlines assessment guidelines to assist in statewide planning in regards to higher education and specifically mandates that "state-supported institutions establish procedures and programs to measure student achievement," which Winthrop meets via reporting and assessment conducted by its offices of Accreditation, Accountability, and Academic Services and Assessment.	Yes	Yes	Report our agency must/may provide	
8	59-107	State	Statute	State Institution Bonds. Includes Winthrop University among a list of other state-supported institutions of higher learning which are set forth guidelines regarding the financial operations of the University including process for setting tuition fees and how those funds may be subsequently used in accordance with the law. This law also outlines the process for state institutions to apply for bonds as necessary.	No	Yes	Distribute funding to another entity	
9	59-101	State	Statute	Colleges and Institutions of Higher Learning Generally. Establishes the designation of state colleges and universities and sets forth various operating requirements.	No	Yes	Other service or product our agency must/may provide	academic programs
10	59-105	State	Statute	South Carolina Campus Sexual Assault Information Act. Mandates the development of "a comprehensive sexual assault policy to address prevention and awareness of sexual assault and to establish procedures that address campus sexual assaults."	No	Yes	Other service or product our agency must/may provide	policies and procedures
11	59-106	State	Statute	South Carolina Campus Sex Crimes Prevention Act. Requires state-funded institutions to provide an annual security report that contains information related to registered sex offenders.	No	Yes	Report our agency must/may provide	
12	59-111	State	Statute	Scholarships. Establishes scholarships, tuition waivers, and other forms of financial assistance for defined populations.	Yes	Yes	Other service or product our agency must/may provide	scholarships
13	59-112	State	Statute	Determination of Rates of Tuition and Fees. Establishes tuition guidelines. Includes outlining eligibility for reduced tuition for various populations, including in-state students.	Yes	Yes	Other service or product our agency must/may provide	reduced tuition
14	59-114	State	Statute	South Carolina National Guard College Assistance Program Act. Establishes college assistance program for qualifying members of the National Guard.	Yes	Yes	Other service or product our agency must/may provide	scholarships
15	59-115	State	Statute	The State Education Assistance Act. Establishes the State Education Assistance Authority, which oversees state-backed student loans.	No	No	But relates to sources of funding for one or more agency deliverables	
16	59-116	State	Statute	Campus Security Department. Grants the Board of Trustees of each institution of higher learning the authority "to establish a safety and security department and appoint and employ campus police officers to carry out the functions of the department." This law also outlines the powers and functions of campus police departments and individuals who may be employed by those departments.	No	No	But relates to manner in which one or more agency deliverables is provided	
17	59-118	State	Statute	South Carolina Academic Endowment Incentive Act of 1997. Purpose of the act is, in part, to "enhance statewide economic development through initiatives in higher education," by providing investment incentives for the creation of endowments to support pedagogical activities in South Carolina's public colleges and universities.	No	No	But relates to sources of funding for one or more agency deliverables	
18	59-147	State	Statute	Higher Education Revenue Bond Act. This mandate provides SC institutions of higher learning with the power, with the permission of the State Fiscal Accountability Authority, to "issue revenue bonds of the university for the purpose of financing or refinancing in whole or in part the cost of acquisition, construction, reconstruction, renovation and improvement of land, buildings, and other improvements for real property and equipment for the purpose of providing facilities serving the needs of the university..."	No	No	But relates to sources of funding for one or more agency deliverables	

19	59-149	State	Statute	Legislative Incentives for Future Excellence (LIFE) Scholarships. Program applies to all public colleges and universities in South Carolina, including Winthrop University.	Yes	Yes	Other service or product our agency must/may provide	scholarships
20	59-150	State	Statute	South Carolina Education Lottery Act which creates scholarship opportunities for SC residents who qualify.	Yes	Yes	Other service or product our agency must/may provide	scholarships
21	59-143	State	Statute	Establishment of Children's Education Endowment. Established the Palmetto Fellows Scholarship program and Need-based Grants.	Yes	Yes	Other service or product our agency must/may provide	scholarships
22	59-142	State	Statute	Students First Financial Resources for Scholarships and Tuition. Establishes need-based grant funding for public institutions.	Yes	Yes	Other service or product our agency must/may provide	scholarships
23	59-26	State	Statute	Training, Certification, and Evaluation of Public Educators. Mandate to "adopt program approval standards so that all colleges and universities [in South Carolina] that offer undergraduate degrees in education shall require that students successfully complete the basic skills examination that is developed..." as a result of this law. Standardizes teacher education and training across state-funded institutions like Winthrop who offer degrees in Education.	No	No - But relates to manner in which one or more agency deliverables is provided		
24	2-47	State	Statute	Joint Bond Review Committee. Mandates that all state-funded institutions of higher learning, including Winthrop, "submit permanent improvement project proposals and justification statements to the authority, through the Commission on Higher Education..."	No	Yes	Report our agency must/may provide	
25	2-77	State	Statute	South Carolina Higher Education Excellence Enhancement Program. This law is aimed at making higher education more accessible for "low-income and educationally disadvantaged students" by offering "public educational assistance" from funds raised via the SC Education Lottery to institutions who meet the listed requirements. The Commission on Higher Education, to whom Winthrop reports, is responsible for administering this program.	No	No - But relates to sources of funding for one or more agency deliverables		
26	13-70	State	Statute	Establishes South Carolina Research Authority (SCRA). One of the main objectives of SCRA is to create opportunities for private funding support for SC-based institutions of higher learning by "promoting cooperative research efforts between the private sector and SC universities and colleges."	No	No - But relates to sources of funding for one or more agency deliverables		
27	20 U.S.C. Section 1011F	Federal	Statute	Education Amendments of 1972, Title IX (Employment). Also known as the Higher Education Opportunity Act, this law stipulates that all colleges and universities are required to "file a disclosure report about ownership or control by, or contracts with or gifts from foreign sources." This disclosure must be filed with DOE when they exceed \$250,000 in a given year.	No	No - But relates to sources of funding for one or more agency deliverables		
28	20 U.S.C. Section 1092g	Federal	Statute	Equity in Athletics Disclosure Act of 1992 (Title 4). Requires that every co-ed institution "that participates in Title IV programs, and has an intercollegiate athletic program, must no later than Oct. 15th of each year, make available on request to enrolled students, prospective students, and the public, the report required to be produced under this law." This report must also be submitted to the Secretary of Education by October 30th. Also requires co-educational institutions of postsecondary education that participate in a Title IV federal student financial assistance program and have an intercollegiate athletic program to prepare an annual report to the Department of Education on athletic participation, staffing, and revenues and expenses, by men's and women's teams.	Yes	Yes	Report our agency must/may provide	
29	20 U.S.C. Section 1232g	Federal	Statute	Family Educational Rights and Privacy Act of 1974 (FERPA). Requires that institutions annually inform currently enrolled students of their rights under FERPA. It is suggested that this is done during fall registration so that students have the opportunity to edit or put a hold on their directory information as desired before it is published.	Yes	Yes	Report our agency must/may provide	
30	20 U.S.C. Section 1092 (a) and 1094	Federal	Statute	General Education Provisions Act. Under this law, all institutions are required to "publish and make readily available to current and prospective students information on the entire Federal, State, local, private, and institutional financial assistance programs available to students who enroll at the institution."	Yes	Yes	Report our agency must/may provide	
31	42 U.S.C. Sections 6101-6107	Federal	Statute	Age Discrimination Act of 1975. Prohibits discrimination based on age in educational or academic programs or activities that receive federal financial assistance. Also prohibits retaliation for those who file a complaint. Also prohibits discrimination based on age in the admission of educational and/or academic programs or activities that receive federal financial assistance.	No	No - But relates to manner in which one or more agency deliverables is provided		
32	42 U.S.C. Sections 12101-12213	Federal	Statute	Americans with Disabilities Act. Provides broad nondiscrimination protection in employment, public services, and public accommodations (which includes colleges and universities) for people with disabilities. Requires reasonable accommodations to allow a qualified person with a disability to participate fully in the educational or academic programs of the university.	No	No - But relates to manner in which one or more agency deliverables is provided		

33	20 U.S.C. Section 1099b; Public Law 110-315	Federal	Statute	Higher Education Opportunity Act. Require universities to make specific disclosures to students including: transfer of credit policies, diversity statistics, retention rates, employment placement data, fire safety report, the course schedule and the ISBN for every required and recommended textbook and supplemental material and retail price information. This also applies for internet based courses. The law mandates that the bookstore operated by or affiliated with the institution must make available as soon as possible the most accurate information regarding the school's course schedule, information for required and recommended textbooks and supplemental materials, the number of students enrolled in the each course and the maximum student enrollment for each course. Encourages institutions to make information available to students regarding book rentals, used textbooks, buyback programs, and alternative delivery programs or other cost saving strategies. Requires that each institution disclose information about any plans to improve the academic program to current and prospective students on an annual basis. Provides to institutions of higher education an adequate opportunity to review and respond to any program review report and relevant materials related to the report before any final program review report is issued. Requires institutions to set goals for preparing teachers in shortage areas including math, science, special education and ELL. Requires that universities report annually to their state government and the public the pass rate on state teacher certification examinations taken by their graduates by April 1 of each year. Section 495 of this law mandates that the institution be accredited by a recognized accreditation body and must make available to prospective and enrolled students the names of associations that accredit, approve, or license the schools and its programs; and procedures for obtaining or reviewing documents describing accreditation, approval, or licensing. Section 488 requires institutions to provide each student, upon their enrollment, with a "separate, clear, and conspicuous written notice" that provides information on the penalties associated with drug-related offenses. Section 484C requires that the University readmit students who left in order to join the military under the same academic status. Section 107 requires institutions to determine the number of drug and alcohol-related violations and fatalities that have occurred on the institution's campus or as part of its activities during the institution's biennial review. Section 488 requires institutions to notify the campus community in the event of a significant emergency and to publish the procedures to immediately notify the campus community upon the confirmation of a significant emergency. Requires institutions to implement procedures for managing reports of missing persons and notify students who live on campus of the situation. Section 493 mandates that when requested institutions must disclose to the alleged victim of any violent crime or non-forcible sex offense and the final results of any institutional disciplinary proceeding conducted against the alleged perpetrator of such crime or offense. Section 485 requires the university to have security policies that encourage accurate and prompt reporting of crimes to campus police and law enforcement agencies which include procedures for immediate notification of emergencies to the the campus community. The institution must publish these procedures annually in a manner that is designed to reach students and staff, and must also test annually conduct and document emergency response testing. Requires the following disclosures to enrolled students: d) preferred lending arrangements; e) via accurate/understandable written or electronic means, the following private loan terms and conditions - interest, range of interest rates, interest adjustments, finance charges, fees and penalties, forbearance, deferral options, self-certification requirements, and a phone number or web address for additional information; k) written notice regarding penalties for drug violations vis-a-vis financial aid eligibility, and if convicted timely written notice of ways to regain eligibility must be given. Disclosures c) - k) must be available to prospective students as well. Section 493 mandates that a school have a code of	Yes	Yes	Report our agency must/may provide
34	Section 504 of The Rehabilitation Act of 1973	Federal	Statute	Prohibits discrimination on the basis of disability at any federally-funded institution. This covers admissions, recruitment, educational and/or academic programs and services. Existing facilities can be made compliant through modification, moving classes or other services to more accessible locations, assignment of aids, or other measures. Newly constructed facilities must be readily accessible to persons with disabilities. Each facility or part of a facility which is altered in a manner that affects or could affect the usability of the facility or part of the facility shall, to the maximum extent feasible, be altered in such manner that the altered portion of the facility is readily accessible to and usable by persons with disabilities.	No	No - But relates to manner in which one or more agency deliverables is provided	
35	20 U.S.C. Sections 1681-1688	Federal	Statute	Title IX of the Education Amendment of 1972. Prohibits discrimination on the basis of sex in education programs or activities receiving federal financial assistance including employment. Any grievance records relating to a Title IX violation or complaint must be retained for the period of time cited in state law for personal injury actions. Also prohibits discrimination on the basis of sex in the admission of education programs or activities receiving federal financial assistance. Also states that no person shall on the basis of sex, be excluded from participation in, be denied the benefits of, or otherwise be discriminated against in any interscholastic, intercollegiate, club or intramural athletics offered by an institution. When a recipient provides financial assistance to any student participating in an educational program or activity, the recipient must ensure that it does not provide different types or amounts of assistance, limit eligibility for such assistance, apply different criteria, or otherwise discriminate in the provision of financial assistance on the basis of sex.	No	No - But relates to manner in which one or more agency deliverables is provided	
36	42 U.S.C. Sections 2000d-2000d-7	Federal	Statute	Title VI of the Civil Rights Act of 1964. Prohibits discrimination based on race, color, or national origin in educational and/or academic programs or activities receiving federal financial assistance. Also prohibits discrimination based on race, color, or national origin in the admission of educational and/or academic programs or activities receiving federal financial assistance.	No	No - But relates to manner in which one or more agency deliverables is provided	
37	26 U.S.C. Section 6050S	Federal	Statute	Qualified Tuition and Student Loan Interest Reporting. Institutions must report qualified tuition and related expenses and student loan interest to the IRS. Institutions must also provide payors with a statement that includes the tax information furnished to the IRS.	Yes	Yes	Report our agency must/may provide
38	15 U.S.C. Sections 1-7	Federal	Statute	Sherman Antitrust Act. This law has been applied to colleges and universities in a number of instances regarding purchases. The Department of Justice interpreted this law to mean that financial aid awards must be established independently and not in concert with other institutions. Also applies to items in a bookstore based on collaboration with a wholesaler or another retailer.	No	No - But relates to sources of funding for one or more agency deliverables	
39	42 U.S.C. Sections 301-1397mm	Federal	Statute	Social Security Act. An employer must pay social security taxes on employees but an exemption exists for most students also working for the university, and for clergy whose services are performed in the exercise of their ministry.	No	No - But relates to sources of funding for one or more agency deliverables	

40	15 U.S.C. Sections 1601-1693r	Federal	Statute	Truth in Lending Act. Requires disclosure statements for loans and credit plans, but exempts Perkins Loans and Federal Family Education Loans.	No	No - But relates to sources of funding for one or more agency deliverables	
41	Public Law No. 106-386	Federal	Statute	Campus Sex Crimes Prevention Act (Title VI). Requires registered sex offenders to provide notice of enrollment or employment at any institution of higher education in that state where the offender resides, as well as notice of each change of enrollment or employment status at the institution.	No	No - But relates to manner in which one or more agency deliverables is provided	
42	20 U.S.C. Section 1011i	Federal	Statute	Drug Free Schools and Communities Act. Requires distribution of the institution's drug and alcohol prevention and policy information every year and a biennial review of the drug and alcohol prevention program.	No	Yes	Report our agency must/may provide
43	42 U.S.C. Sections 11001-11050	Federal	Statute	Emergency Planning and Community Right to Know Act (EPCRA). Requires the establishment of state emergency response systems which are responsible for coordinating certain emergency response activities and for appointing local emergency planning committees.	No	No - But relates to manner in which one or more agency deliverables is provided	
44	20 U.S.C. Section 1092(f)	Federal	Statute	Jeanne Clery Disclosure of Campus Security Policy and Campus Statistics Act (Clery Act) and Violence Against Women Act. Any institution that participates in federal financial aid programs must collect information with respect to campus crime statistics and campus security policies of the institution. The institution must annually distribute an annual security report to current students and employees, and, upon request, to prospective students or employees.	Yes	Yes	Report our agency must/may provide
45	29 U.S.C. Sections 651-678	Federal	Statute	Occupational Safety and Health act of 1970. The University must have a written emergency action plan kept in the workplace and available for employee review upon request.	Yes	Yes	Report our agency must/may provide
46	41 U.S.C. Sections 8701-8707	Federal	Statute	Anti-Kickback Act of 1986. Prohibits any payment or gratuity made for the purpose of inducing award of a subcontract or prime contract with the federal government.	No	No - Does not relate directly to any agency deliverables	
47	31 U.S.C. Section 1352	Federal	Statute	Byrd Amendment. Prohibits grantees from lobbying with federal funds. Requires disclosure of lobbying activities when receiving federal contracts, grants, loans, or cooperative agreements.	No	No - But relates to sources of funding for one or more agency deliverables	
48	18 U.S.C. Section 874, 40 U.S.C. Section 3145	Federal	Statute	Copeland Anti-Kickback Act. Requires contractors and subcontractors on public buildings or works financed by loans or grants from the US to furnish a weekly statement of wages paid.	No	No - Does not relate directly to any agency deliverables	
49	Executive Order 1289	Federal	Statute	E-Verify. For federal contracts of more than \$100,000 and subcontracts greater than \$3,000, the University must utilize the E-Verify electronic system to confirm the employees working on those contracts/subcontracts are lawfully eligible to work in the US.	Yes	No - Does not relate directly to any agency deliverables	
50	Executive Order 13665	Federal	Statute	Non-Retaliation for Disclosure of Compensation Information. Federal contractors and subcontractors may not fire or otherwise discriminate against any employee or applicant for discussing, disclosing, or inquiring about his or her compensation or that of another employee or applicant.	No	No - Does not relate directly to any agency deliverables	
51	17 U.S.C. Sections 101-1332	Federal	Statute	Copyright Act. Protects the rights of authors from unauthorized reproduction/adaptation/performance/display/distribution of protected works of authorship, including computer software, books, journals etc. Software licenses required. Includes an exception for "fair use" in criticism, comment, teaching, scholarship and research.	No	No - But relates to manner in which one or more agency deliverables is provided	
52	Public Law No. 105-298	Federal	Statute	Copyright Term Extension Act. Extends by 20 years the length of protection afforded to copyrighted works, and thus lengthens the amount of time it will take for a work to enter the public domain. For copyrights in their renewal term at the time of the effective date of this new law, the term of copyright is extended to 95 years from the date the copyright was originally secured. For works created but not published or registered before January 1, 1978, the term endures for life of the author plus 70 years, but in no case will expire earlier than December 31, 2002. If the work is published before December 31, 2002, the term will not expire before December 31, 2047. Includes an exception that allows libraries, archives and nonprofit educational institutions to treat a copyrighted work in the last 20 years of protection as if it were in the public domain for purposes of preservation, scholarship or research. Conditions that apply to this usage in the last 20 years require a good faith investigation to determine that the work is not subject to normal commercial exploitation, the work or phone record cannot be obtained at a reasonable price, and use of the work stops if the copyright owner provides notice to the contrary.	No	No - But relates to manner in which one or more agency deliverables is provided	
53	Public Law No. 103-304	Federal	Statute	Digital Millennium Copyright Act (DMCA). Imposes rules prohibiting the circumvention of technological protection measures. Sets limitations on copyright infringement liability for online service providers (OSPs). Expands an existing exemption for making copies of computer programs. Provides a significant updating of the rules and procedures regarding archival preservation.	No	No - But relates to manner in which one or more agency deliverables is provided	
54	Public Law No. 110-315	Federal	Statute	Higher Education Opportunity Act. Sections 152 and 153 require the following disclosures to enrolled students: a) Policies/sanctions for copyright infringement; and b) annual disclosure detailing federal copyright penalties	Yes	Yes	Report our agency must/may provide
55	15 U.S.C. Sections 1051-1141n	Federal	Statute	Lanham Act. Prohibits trademark infringement, trademark dilution, and false advertising.	No	No - But relates to manner in which one or more agency deliverables is provided	

56	Public Law No. 107-273	Federal	Statute	Technology Education and Copyright Harmonization Act (TEACH ACT) of 2002. Permits an instructor to display virtually all types of works during on-line instruction at accredited nonprofit educational institutions without consent of copyright owner, provided that instruction is mediated by an instructor, transmission is intended only for students enrolled in course, and measures are employed to prevent redistribution of transmission and prevent its retention for longer than the class session.	No	No - But relates to manner in which one or more agency deliverables is provided
57	Public Law 103-3	Federal	Statute	The Family Medical Leave Act of 1993. Entitles eligible employees of covered employers to take unpaid, job-protected leave for specified family and medical reasons with continuation of group health insurance coverage under the same terms and conditions as if the employee had not taken leave. Provides covered employees with certain rights to reinstatement of employment after taking FMLA leave.	Yes	No - Does not relate directly to any agency deliverables
58	Executive Order 11246	Federal	Statute	Affirmative Action/Equal Employment Opportunity. Affirmative action must be taken by covered employers to recruit and advance qualified minorities, women, persons with disabilities, and covered veterans.	Yes	No - Does not relate directly to any agency deliverables
59	29 U.S.C. Sections 621-634	Federal	Statute	Age Discrimination Employment Act of 1967. It is unlawful for the University to fail to hire, discharge, segregate, classify, or otherwise discriminate against any individual with respect to compensation, terms, conditions or privileges of employment because of age.	No	No - Does not relate directly to any agency deliverables
60	38 U.S.C. 4211-4215	Federal	Statute	Equal Employment of Veterans. For federal subcontracts of \$100,000 or more the University shall include in each of its subcontracts a clause that the subcontractor will not discriminate against any employee or applicant for employment because he or she is a special disabled veteran, veteran of the Vietnam era, recently separated veteran, or other protected veteran in regard to any position for which the employee or applicant for employment is qualified. The university must agree to take affirmative action to employ and advance qualified individuals without discrimination based on such status.	No	No - Does not relate directly to any agency deliverables
61	29 U.S.C. Section 206(d)	Federal	Statute	Equal Pay Act of 1963. Prohibits sex-based wage discrimination between men and women in the same establishment who perform jobs that require substantially equal skill, effort and responsibility under similar working conditions.	No	No - Does not relate directly to any agency deliverables
62	Public Law No. 110-233	Federal	Statute	Genetic Information Act of 2008. Prohibits use of genetic information in employment decision-making. Requires that genetic information be maintained as a confidential medical record, and places strict limits on disclosure of genetic information.	No	No - Does not relate directly to any agency deliverables
63	8 U.S.C. Sections 1101-1106	Federal	Statute	Immigration and Nationality Act. The INA, as amended, sets forth the laws governing the admission and employment of foreign nationals in the United States, including provisions that address employment eligibility and employment verification.	No	No - Does not relate directly to any agency deliverables
64	Public Law No. 111-2	Federal	Statute	Lilly Ledbetter Fair Pay Act of 2009. An individual subjected to compensation discrimination under Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, or the Americans with Disabilities Act of 1990 may file a charge within 180 (or 300) days of any of the following: 1) when a discriminatory compensation decision or other discriminatory practice affecting compensation is adopted; 2) when the individual becomes subject to a discriminatory compensation decision or other discriminatory practice affecting compensation; or 3) when the individual's compensation is affected by the application of a discriminatory compensation decision or other discriminatory practice, including each time the individual receives compensation that is based in whole or part on such compensation decision or other practice.	No	No - Does not relate directly to any agency deliverables
65	42 U.S.C. 2000d-7	Federal	Statute	Title VII of the Civil Rights Act of 1964. Title VII prohibits discrimination in hiring, firing, training, promotion, discipline, or other workplace decisions on the basis of an employee or applicant's race, color, sex, national origin, or religion. Sexual harassment is also prohibited under this law. Prohibits discrimination on the basis of pregnancy, childbirth, or related illness in employment opportunities, health or disability insurance programs, or sick leave plans.	No	No - Does not relate directly to any agency deliverables
66	38 U.S.C. 4301-4335	Federal	Statute	Uniformed Services Employment and Reemployment Rights Act (USERRA). Seeks to ensure that members of the uniformed services are entitled to return to their civilian employment upon completion of their service. Qualified employees must be reinstated with the seniority, status, and rate of pay they would have obtained had they remained continuously employed by their civilian employer. The law also protects individuals from discrimination in hiring, promotion, and retention on the basis of present and future membership in the armed services.	No	No - Does not relate directly to any agency deliverables
67	15 U.S.C. Section 2651	Federal	Statute	Asbestos Hazard Emergency Response Act. To prevent exposure to asbestos in school buildings, AHERA requires that all public and non-profit schools inspect each school building for asbestos-containing building material and prepare an asbestos management plan to prevent disturbance of asbestos.	No	No - But relates to manner in which one or more agency deliverables is provided

68	Executive Order 13563 and 12866	Federal	Statute	Chemical Facility Anti-Terrorism Standards. This rule establishes risk-based performance standards for the security of the nation's chemical facilities. It requires covered chemical facilities to prepare Security Vulnerability Assessments, which identify facility security vulnerabilities, and to develop and implement Site Security Plans, which include measures that satisfy the identified risk-based performance standards.	No	No - But relates to manner in which one or more agency deliverables is provided
69	42 U.S.C. 7401-7671q	Federal	Statute	Clean Air Act. Regulates air emissions from stationary and mobile sources. Requires annual fees to be paid based upon total tons of actual emissions of each regulated pollutant.	No	No - But relates to manner in which one or more agency deliverables is provided
70	33 U.S.C. 1251-1387	Federal	Statute	Clean Water Act. Establishes the basic structure for regulating discharges of pollutants into the waters of the United States and regulating quality standards for surface waters. Facilities are governed by local wastewater pretreatment standards issued by local wastewater treatment plants. Requires waste water permits and reporting quarterly.	No	No - But relates to manner in which one or more agency deliverables is provided
71	29 C.F.R. Section 1910.243	Federal	Statute	Guarding and Use of Hand and Portable Powered Tools. The University is responsible for the safe condition and guarding of portable powered tools/equipment (ex: saws/drills/drivers/sanders/grinders/ pneumatic tools/explosive fasteners, etc.) including tools furnished by employees.	Yes	No - But relates to manner in which one or more agency deliverables is provided
72	Public Law No. 110-161	Federal	Statute	Mandatory Reporting of Greenhouse Gases. Effective December 29, 2009, and implemented under the Environmental Protection Agency's (EPA) powers under the Clean Air Act, the rule requires that the University submit an annual report on the University's greenhouse gas emissions. Gases covered by the rule include carbon dioxide (CO2), methane (CH4), nitrous oxide (N2O), hydro fluorocarbons (HFC), per fluorocarbons (PFC), sulfur hexafluoride (SF6) and other fluorinated gases and hydro fluorinated ethers.	No	Yes Report our agency must/may provide
73	49 U.S.C. Sections 13101-14916	Federal	Statute	Motor Carrier Act of 1980. Institutions are liable for any pollution-related incident that occurs during the transportation of hazardous material.	No	No - But relates to manner in which one or more agency deliverables is provided
74	33 U.S.C. 2701-2762	Federal	Statute	Oil Pollution Act. Regulation requires container inspection and integrity, testing, recordkeeping, annual training for employees who handle oil, and annual discharge prevention briefings.	No	No - But relates to manner in which one or more agency deliverables is provided
75	29 U.S.C. 651-678	Federal	Statute	OSHA. Requires employers to train employees on hazards in the workplace, to provide information to employees, to report occupational injuries and illnesses to the federal government, and to keep records of same, and to provide controls and protective equipment as well.	Yes	Yes Report our agency must/may provide
76	29 C.F.R. Section 1926.1101	Federal	Statute	OSHA Asbestors in Construction Standard. Applies to construction only. No employee may be exposed to an airborne concentration of asbestos in excess of 0.1 fiber per cubic cm of air as an 8 hour time-weighted average. The University must use enumerated safety procedures, monitor subject areas and notify potentially affected employees of results within five days.	Yes	No - But relates to manner in which one or more agency deliverables is provided
77	29 C.F.R. Section 1910.1001	Federal	Statute	OSHA General Industry Standard. The University must ensure that no employee is exposed to an airborne concentration of asbestos in excess of 0.1 fiber per cubic centimeter of air as an eight hour time-weighted average. Applies to occupational exposure other than construction. The University must perform initial monitoring where employees are or may reasonably be expected to be exposed at or above the exposure limit, and must promptly notify such employees of the results within 15 working days. Required, repeated monitoring depends on the initial results. Additional safety and compliance procedures are required.	Yes	No - Does not relate directly to any agency deliverables
78	29 C.F.R. Section 1910.132	Federal	Statute	OSHA Enforcement Guidance for Personal Protective Equipment (PPE) in General Industry. OSHA requires the use of Personal Protective Equipment (PPE) to reduce employee exposure to hazards when engineering and administrative controls are not feasible or effective in reducing these exposures to acceptable levels. The new guidance, effective February 10, 2011, clarifies what type of PPE employers must provide at no cost to workers and when employers are required to pay for PPE.	Yes	No - Does not relate directly to any agency deliverables
79	29 C.F.R. Section 1926.62	Federal	Statute	OSHA Lead in Construction Standard. Applies to exposure to lead during construction only. The University must conduct lead exposure assessments for construction jobs (alternation, repair, demolition, painting, decorating) with possible exposure to lead to determine if exposure threshold met (i.e. > 50 micrograms concentration per cubic meter of air averaged over an 8 hours). If threshold met, the University must notify affected employee, institute engineering and work practice controls to reduce exposure to permissible levels, and conduct repeat monitoring. Also applies to contractors.	Yes	No - Does not relate directly to any agency deliverables
80	29 C.F.R. Section 1910.1025	Federal	Statute	OSHA Lead in General Industry Standard. Applies to occupational exposure to lead by employees in non-construction setting. The University must ensure no employee is exposed to > 50 micrograms lead concentration per cubic meter of air averaged over an 8 hours. Where there is potential exposure, the University must make a determination as to whether employees are so exposed, and if so must notify the employee, institute corrective action via engineering and work practice controls, and repeat monitoring activities.	Yes	No - Does not relate directly to any agency deliverables

81	29 C.F.R. Section 1910.251	Federal	Statute	OSHA Welding, Cutting, and Brazing. Before cutting/welding can be permitted, the area must be inspected by the individual responsible for authorizing cutting/welding operations, and who must designate precautions to be followed in granting authorization, preferably in the form of a written permit. Cutting/welding shall be permitted only in areas that are or have been made fire safe, and appropriate PPE and clothing based on the type of work performed must be used. (Note: Per OSHA, to minimize carbon monoxide hazard, maintain 3-4 feet of clear ventilation space around generators).	Yes	No - Does not relate directly to any agency deliverables	
82	29 C.F.R. 1910.1200	Federal	Statute	OSHA's Hazard Communication Standard. To comply with employees' right to know about the hazards associated with materials/substances they use on the job, the University must compile and maintain chemical inventories, and make Material Safety Data Sheets readily available upon employee request.	Yes	No - Does not relate directly to any agency deliverables	
83	18 U.S.C. 175b	Federal	Statute	The Public Health Security and Bioterrorism Preparedness and Response Act. All colleges and universities that possess select agents, which are certain biological agents and toxins, need to register with the Secretary of the U.S. Department of Health and Human Services. Requires prompt notification of the release of a select agent outside of the biocontainment area, or of theft or loss of a select agent. The university must also deny access to the agents/toxins by restricted persons. Universities must keep comprehensive inventories of select agents and must submit the names and other identifying information for individuals who the university determines have a legitimate need to handle or use the toxins.	No	No - Does not relate directly to any agency deliverables	
84	15 U.S.C. 2601-2629	Federal	Statute	Toxic Substances Control Act. Regulates the use and disposal of certain chemicals, including PCBs used in electrical transformers. Must comply with regulations concerning use, service, storage, and disposal of transformers containing PCBs. The Act requires the identification, inventory, marking and quarterly inspection of PCB transformers.	No	No - But relates to manner in which one or more agency deliverables is provided	
85	20 U.S.C. Section 1094; 110-315	Federal	Statute	Higher Education Opportunity Act of 2008. Requires that the University: 1) Maintain administrative and fiscal procedures for proper and efficient administration of program funds; 2) demonstrate financial responsibility and administrative capability; and 3) certify that it has a drug abuse prevention program available, a campus security policy, and that it is in compliance with athletic program participation rate and financial support data disclosures. The University is prohibited from engaging in the following activities: 1) Charging students fees for processing program eligibility applications, forms or data; 2) knowingly employing or contracting with individuals or entities in any capacity involving administration of HEA programs if they have been found to have committed fraud or other violations involving federal/state/local funds; 3) penalizing or denying students access because of inability to meet financial obligations resulting from delays in disbursement due to program compliance requirements or institutional delays; and 4) providing a commission/bonus to any person or entity engaged in any student recruiting, admission activities, or award decision-making based on success in securing enrollments or financial aid. Use of a third party servicer does not relieve the University of these compliance requirements. The institution must publish and make readily available to current and prospective students information on the entire Federal, State, local, private and institutional financial assistance programs available to students who enroll at the institution. Mandates that the University must at least annually have an independent auditor (independent certified public accountant or a government auditor) conduct a compliance audit of its administration of that program and an audit of the institution's general purpose financial statements. An audit conducted in accordance with the Office of Management and Budget's Uniform Guidance satisfies this requirement. The audit must cover all Title IV, HEA program transactions. The compliance audit and audited financial statements must be submitted to the Secretary no later than six months after the last day of the institution's fiscal year. Also requires that the University maintain any application for Title IV, HEA program funds and program records that document: 1) its eligibility to participate and eligibility of its programs to receive funds; 2) its administration of the HEA programs per applicable requirements; 3) its financial responsibility; 4) information included in any application for program funds; and 5) its disbursement and delivery of program funds. The University must maintain the following financial information: 1) The Student Aid Report (SAR) or Institutional Student Information Record (ISIR); 2) application data submitted on behalf of the student or parent; 3) documentation of each student's or parent borrower's eligibility for and receipt of program funds and loan detail; 4) documentation of and information collected at initial or exit loan counseling; 5) reports and forms used by the University in participating in an HEA program, and any records needed to verify data in those reports and forms; and 6) documentation supporting calculations of the University's completion or graduation rates. The University must keep records relating to administration of the Federal Perkins Loan, FWS, FSEOG, or Federal Pell Grant Program for three years after the end of the award year for which the aid was awarded and disbursed. Records pertaining to any loan, claim, or expenditure questioned by a program audit, review, or investigation must be kept until resolution or the end of the applicable retention period, whichever is later. Annually the University must distribute to all enrolled students a notice of availability of the following: 1) Financial assistance available; 2) institutional information (e.g. cost of attendance including tuition, room, board, books and transportation, refund policy, description of academic programs and facilities, withdrawal requirements, facilities for the disabled, names of accrediting or licensing entities); 3) completion, graduation, and transfer-out rates; 4) annual security report; 5) report on athletic program participation rates and financial support data; 6) FERPA notice regarding how to obtain student information. This may be made available online and should be made available to prospective students upon request. The University must maintain and use written policies and procedures for verifying information contained in student aid applications. Policies and procedures must include: 1) The time period for applicants to provide documentation and consequences for failure to do so; 2) the school's verification requirements and method for notifying applicants of the results of the verification process; 3) how to correct erroneous application information; 4) how to make referrals to the Department of Education's Office of Inspector General for suspected criminal conduct by students in applying for aid. PLUS Loans are available to the parents of eligible students through the FDLP (Direct) or FFEL (private) program, but not both. If applications are made for an FFEL PLUS loan, the University must: 1) Verify the parent's immigration status and social security number in the same manner verified for students; 2) extend PLUS loans only to parents who do not have an adverse credit history; 3) extend such loans on the same terms, conditions and benefits as other FFEL loans; 4) for any academic year, limit the loan amount to the student's estimated cost of attendance minus other financial aid; and 5) be disbursed to the institution electronically or co-payable to the school and parent. Graduate/professional degree students are eligible to borrow under the PLUS Loan Program up to their cost of attendance minus other estimated financial assistance in both the FFEL and Direct Loan Program. The University may maintain a preferred vendor list so long as it is not used to deny or impede a borrower's choice of lenders, offers three or more lenders not affiliated with one another, and doesn't include lenders who have offered financial or other benefits to be included on the list. The University must disclose to borrowers the method and criteria used to select preferred lenders, and offer comparative information to borrowers about each lender's benefits.	No	Yes	Report our agency must/may provide
86	20 U.S.C. Section 1001-1019d	Federal	Statute	Student Loan Default Prevention Initiative Act of 1990. Renders institutions with high default rates on student loans ineligible to participate in certain student loan programs.	No	No - But relates to sources of funding for one or more agency deliverables	
87	Public Law No. 101-542; 20 U.S.C. 1092	Federal	Statute	Student Right to Know Act. Mandates that the University must have full time financial aid staff to assist students. University must make readily available upon request, through publications, mailings and electronic media, to enrolled and prospective students: 1) Financial aid programs available; 2) methods by which assistance is	Yes	Yes	Report our agency must/may provide

88	15 U.S.C. 7701-7713	Federal	Statute	Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2003 (CAN-SPAM Act). Prohibits the inclusion of deceptive or misleading information and subject headings, requires identifying information such as a return address in email messages, and prohibits sending emails to a recipient after an explicit response that the recipient does not want to continue receiving messages. In addition to bulk email, the law covers all commercial messages, which it defines as "any electronic mail message the primary purpose of which is the commercial advertisement or promotion of a commercial product or service." Each separate email in violation of the CAN-SPAM Act is subject to penalties of up to \$16,000.	No	No - Does not relate directly to any agency deliverables		
89	26 U.S.C. Section 170	Federal	Statute	Internal Revenue Code: Substantiation and Disclosure Provisions. Substantiation and disclosure provisions apply to contributions made to tax-exempt organizations after December 31, 1993. For charitable contributions of \$250 or more, the donor must receive a contemporaneous written acknowledgment from the organization of the gift. The acknowledgment should note the amount of any cash contribution and, if the donation is in the form of property, the acknowledgment must describe, but need not value the property. Valuation of the property is the responsibility of the donor.	Yes	Yes	Report our agency must/may provide	
90	26 U.S.C. Section 170	Federal	Statute	Pension Protection Act. No deduction will be allowed for the donor for a contribution of \$250 or more (whether in cash or property) unless the donor has a contemporaneous written acknowledgment from the university substantiating the contribution. The university must provide a written disclosure statement to the donor(s) who make payments described as quid pro quo contributions in excess of \$75.	Yes	No - But relates to sources of funding for one or more agency deliverables		
91	Public Law No. 104-62	Federal	Statute	Philanthropy Protection Act of 1995. Requires the university to provide a disclosure statement to all annuitants in a Gift Annuity Fund and also to provide the same to all prospective donors at the time of solicitation, using a letter or pamphlet format. Prohibits the payment of commissions or remuneration to anyone based on the value of a charitable gift annuity given to a public charity.	Yes	No - But relates to sources of funding for one or more agency deliverables		
92	Public Law No. 111-148; 42 U.S.C. 18001-18122	Federal	Statute	Patient Protection and Affordable Care Act. Beginning in 2015, under IRC - 4980H applicable large employers (generally those with 50 or more employees) must pay a tax penalty if (1) they do not offer health insurance coverage to substantially all of their full-time employees or (2) the coverage they do offer is not affordable or does not provide a certain minimum level of benefits. A full-time employee under the employer mandate is defined as a common law employee who is employed an average of at least 30 hours per week. The final regulations offer some specific guidance to higher education institutions with respect to counting the hours worked by certain adjunct faculty and student workers.	Yes	Yes	Other service or product our agency must/may provide	health insurance coverage to full-time employees
93	38 U.S.C. Sections 4211-4214	Federal	Statute	The Veterans' Readjustment Benefits Act. Provides the rights, benefits, and obligations of persons absent from employment for military service.	Yes	No - But relates to manner in which one or more agency deliverables is provided		
94	49 U.S.C. Section 31144	Federal	Statute	Drug and Alcohol Testing of Transportation Employees. Commercial motor vehicle operators are subject to pre-employment drug testing, and post-accident, random and reasonable suspicion drug and alcohol testing. Testing is mandatory if the driver is involved in a fatal accident. Passenger vehicle drivers are required to be tested prior to employment. The Code of Federal Regulations sets forth the procedures for administering drug and alcohol testing as mandated by the DOT.	Yes	No - Does not relate directly to any agency deliverables		
95	29 U.S.C. Sections 2001-2009	Federal	Statute	Employee Polygraph Protection Act. The University may not: Directly or indirectly require, request, suggest, or cause any employee or prospective employee to take or submit to any lie detector test; Use, accept, refer to, or inquire concerning the results of any lie detector test of any employee or prospective employee; or Discharge, discipline, discriminate against, deny employment or promotion, or threaten such action against any employee or prospective employee who a) refuses, declines, or fails to take or submit to any lie detector test, or b) on the basis of the results of any lie detector test; or 4) discharge, discipline, discriminate against, deny employment or promotion, or threaten such action against any employee or prospective employee a) who has filed a complaint or caused to be instituted any proceeding per this Act, b) has or will testify in any such proceeding, or c) exercises any right per the Act. The University must post a notice of the Act, as prepared by the Secretary of Labor, in conspicuous places where notices to employees and applicants are customarily posted.	No	No - Does not relate directly to any agency deliverables		
96	Public Law No. 105-19; 42 U.S.C. 14501-14505	Federal	Statute	Federal Volunteer Protection Act. Partially protects individual volunteers for non-profit organizations and governmental entities from liability for acts of negligence in the course of their volunteer work.	No	No - Does not relate directly to any agency deliverables		
97	29 U.S.C. Sections 2101-2109	Federal	Statute	Worker Adjustment and Retraining Notification Act (WARN). The University must provide 60 days advance notice of plant closings or mass layoffs to affected workers (whether hourly or salaried, including managers or supervisors) or their representatives (i.e. labor union). Notice also must be given to the state dislocated worker unit and the appropriate unit of local government. Failure to comply may result in liability to each aggrieved employee for back pay and benefits for the period of violation up to 60 days, and \$500 for each day of violation for failing to notify the unit of local govt.	Yes	No - Does not relate directly to any agency deliverables		

98	Public Law No. 95-454; 5 U.S.C. Section 1104	Federal	Statute	Civil Service Reform Act of 1978. These regulations establish a Bill of Rights for union members and set standards for union reports, trusteeships imposed on subordinate unions, elections of union officers, financial safeguards, and other matters. They also set forth administrative procedures for enforcing the standards of conduct requirements, including hearings before an administrative law judge and determinations by the Assistant Secretary for Employment Standards.	No	No - Does not relate directly to any agency deliverables
99	29 U.S.C. Sections 151-169	Federal	Statute	National Labor Relations Act. It is an unfair labor practice for the University to: 1) Interfere with, restrain, or coerce employees in the exercise of their rights; 2) dominate or interfere with the formation or administration of any labor organization; 3) discriminate in any term or condition of employment; 4) encourage or discourage membership in any labor organization; or 5) refuse to bargain collectively with a labor organization's representatives.	Yes	No - Does not relate directly to any agency deliverables
100	15 U.S.C. Section 1673	Federal	Statute	Consumer Credit Protection Act. Per Title III of the CCPA the maximum part of an employee's total disposable earnings subject to garnishment in any workweek may not exceed the lesser of 25% of disposable earnings for that week or the amount by which disposable earnings for that week exceeds 30 times the federal minimum wage rate in effect at the time the earnings are payable. 1674 prohibits firing an employee because of garnishment and imposes a \$1,000 fine for doing so.	No	No - Does not relate directly to any agency deliverables
101	29 U.S.C. 201-219	Federal	Statute	Fair Labor Standards Act (FSLA). Establishes minimum wage. Guarantees "time and a half" overtime for some employees. Establishes requirements for break time and places for nursing mothers. The University must preserve for 3 years: All payroll records or other records containing employee data from the last date of entry From their last effective date: collective bargaining agreements and amendments, plans, trusts, employment and individual contracts, written agreements or memoranda summarizing the terms of oral agreements, and certificates and notices. The University must preserve for two years: Basic employment and earnings records, wage rate tables, records of additions to or deductions from wages paid, all records used in determining original, operating and maintenance costs, and depreciation and interest charges.	Yes	No - Does not relate directly to any agency deliverables
102	42 U.S.C. Sections 401-434	Federal	Statute	Federal Insurance Contributions Act (FICA). Provides that service performed in the employ of a school, college or university by a student who is enrolled and regularly attending classes at such school, college, or university is exempt from the FICA tax. An employee who is in employment for wages which are subject to taxes under the Federal Insurance Contributions Act (FICA) or which are subject to the withholding of income tax from wages must apply for a social security number by filing SSA Form SS-5. An employer must pay social security taxes on employees, but an exemption exists for most students who are also working for the university and for clergy whose services are performed in the exercise of their ministry.	Yes	No - Does not relate directly to any agency deliverables
103	26 U.S.C. Sections 3301-3311	Federal	Statute	Federal Unemployment Tax Act. Provides for payments of unemployment compensation to workers who have lost their jobs. If your FUTA tax is more than \$500 for the calendar year, you must deposit at least one quarterly payment. If not, alternate rules apply.	No	No - Does not relate directly to any agency deliverables
104	Qualified Tuition Reductions	Federal	Statute	A qualified tuition reduction is any reduction in tuition provided to an employee for the education of an employee or certain relatives of the employee at the institution the employee works at or another qualified institution. A qualified tuition reduction is tax-free. The tuition must be for education below the graduate level, with an exception for graduate students engaged in teaching or research at the university.	Yes	No - Does not relate directly to any agency deliverables
105	22 C.F.R. 62.16	Federal	Statute	Student Exchange Visitor Information System (SEVIS). The Student and Exchange Visitor Program (SEVP) acts as the bridge for various government organizations that have an interest in information on foreign students. SEVIS was created to improve data collection and reporting, enhance customer service, facilitate compliance with regulations and help Immigration and Customs Enforcement (ICE) better monitor schools and exchange programs, as well as F, M and J non-immigrants. Via SEVIS, the University must keep information and records relating to each F-1 or M-1 student to whom it has issued a Form I-20A or I-20M.	Yes	No - Does not relate directly to any agency deliverables
106	47 U.S.C. Sections 1001-1010	Federal	Statute	Communications Assistance for Law Enforcement Act (CALEA). CALEA requires a "telecommunications carrier," as defined by the Act, to ensure that equipment, facilities, or services that allow a customer or subscriber to "originate, terminate, or direct communications," enable law enforcement officials to conduct electronic surveillance pursuant to court order or other lawful authorization.	No	No - Does not relate directly to any agency deliverables
107	44 U.S.C. Section 35	Federal	Statute	Federal Information Security Management Act (FISMA). The act, applicable to federal agencies, also covers the University as a federal contractor where it is holding federal data pursuant to federally-funded research. The Act requires that the University: 1) implement security programs and policies; 2) assess risk; and 3) periodically test controls.	No	No - But relates to manner in which one or more agency deliverables is provided
108	20 U.S.C. Section 1232g	Federal	Statute	FERPA. The University must provide students the right to inspect their education records and obtain written consent to release the records to anyone other than school officials, authorized government personnel, in connection with financial aid, in an emergency, or for other specifically-allowed purposes.	Yes	No - Does not relate directly to any agency deliverables
109	15 U.S.C. Section 6801	Federal	Statute	Freedom of Information Act (FOIA). Provides a process by which every person may request access to a public college or university's records or information.	No	Yes Report our agency must/may provide

110	20 U.S.C. Section 1094; 110-315	Federal	Statute	Institutions are prohibited from providing any commission, bonus, or other incentive payment based in any part, directly or indirectly, upon success in securing enrollments or the award of financial aid, to any person or entity who is engaged in any student recruitment or admission activity, or in making decisions regarding the award of Title IV, HEA program funds. There is an exception provided for the recruitment of foreign students residing in foreign countries who are not eligible to receive Federal student assistance. According to 2015 guidance, the Department of Education does not interpret the regulations to proscribe compensation for recruiters that is based upon students' graduation from, or completion of, educational programs.	No	No - But relates to manner in which one or more agency deliverables is provided	
111	20 U.S.C. Section 1094	Federal	Statute	Makes the institution responsible for substantial misrepresentations made by the institution itself, a representative of the institution, or any person or entity with whom the institution has an agreement to provide educational programs, marketing, advertising, and recruiting or admissions services. Broadens the definitions of misrepresentations of the nature of an institution's educational program, financial charges, or employability of its graduates.	No	No - But relates to manner in which one or more agency deliverables is provided	
112	20 U.S.C. Section 1001, 1002	Federal	Statute	Provides federal definition of credit hour.	No	No - But relates to manner in which one or more agency	
113	20 U.S.C. Section 1001, 1002	Federal	Statute	Gainful Employment. To be Title IV eligible, an educational program must either lead to a degree awarded by a public or nonprofit institution or prepare students for gainful employment (GE) in a recognized occupation. Institutions must certify that a GE program meets acceptable state and accreditation requirements, and the programs must meet specified debt-to-earnings (D/E) measures. The D/E rates measure is based on the typical loan debt and earnings of students who previously completed the program. Two D/E rates are calculated, one based on annual earnings and one based on discretionary income. Institutions must also establish the eligibility of a GE program by certifying, among other things, that the program is included in the institution's accreditation and satisfies any applicable state licensing and certification requirements for the occupations for which the program prepares students to enter. With the exception of the disclosure requirements (pertaining to prospective and enrolled students) in 34 CFR 668.412, all of the provisions in the GE regulations took effect July 1, 2015. The new disclosure requirements are effective January 1, 2017.	Yes	No - But relates to manner in which one or more agency deliverables is provided	
114	20 U.S.C. Section 1001, 1002	Federal	Statute	State Authorization Rule. In order for its students to be eligible for Title IV student financial assistance, an institution must be able to demonstrate to ED that it meets the legal authorization standards of every state in which it operates (effective July 1, 2015). An institution must make available, upon request, to any enrolled or prospective student a copy of the documents describing the institution's accreditation and its state, federal or tribal approval or licensing. In addition, the institution must provide students and prospective students with contact information for filing complaints with the institution's accreditor and with its state approval agency and any other relevant state official or agency.	Yes	Yes	Report our agency must/may provide
115	7 U.S.C. Sections 2131-2159	Federal	Statute	Animal Welfare Act. Governs the treatment of animals used for research: dogs, cats, monkeys, guinea pigs, hamsters, and other warm-blooded animals.	No	No - But relates to manner in which one or more agency deliverables is provided	
116	45 C.F.R. 46101-46.124	Federal	Statute	Common Rule. Institutions must provide a written assurance application that they will comply with the Common Rule requirements. Human subjects research must undergo review and be approved by the designated institutional review board (IRB). Reporting to the Office for Human Research Protections (OHRP) of unanticipated problems involving risks to subjects or serious or continuing non-compliance, and any suspension or termination of research.	No	No - But relates to manner in which one or more agency deliverables is provided	
117	1-1-810 and 1-1-820 of SC Code of Laws	State	Statute	Statute requiring the submission of an annual accountability report to the Governor and General Assembly and specifying the required content of the report	Yes	Yes	Report our agency must/may provide
118	1-1-550 of SC Code of Laws	State	Statute	Grants honorably discharged veterans preference for employment	Yes	No - But relates to manner in	
119	11-35 of SC Code of Laws	State	Statute	Statutory requirements for procurement of goods and services	Yes	No - But relates to manner in	
120	59-102 of SC Code of Laws	State	Statute	Student-athlete statutory requirements	Yes	No - But relates to manner in	
121	59-113 of SC Code of Laws	State	Statute	Tuition grant statutory requirements	No	No - But relates to manner in	
122	9-1 of SC Code of Laws	State	Statute	Requirements for retirement system for employees	Yes	No - Does not relate directly	
123	48-52-620 of SC Code of Laws	State	Statute	Reduction of energy consumption by 20% by 2020 of all public colleges and universities	No	No - But relates to manner in	
124	62 Code of State Regulations	State	Regulation	State Regulations promulgated for state scholarship programs including Palmetto Fellows, LIFE, HOPE, SC Need-based Grant Program; tuition and fee determination and state residency; free tuition for SC residents aged sixty and older; Performance Standards and Funding and the Reduction, Expansion, Consolidation, or Closure of an institution.	Yes	No - But relates to sources of funding for one or more agency deliverables	
125	20 USC 1094, Section 487(a)(17)	Federal	Regulation	Law mandating the completion of IPEDS surveys for higher education institutions participating in federal financial assistance programs	No	Yes	Report our agency must/may provide
126	P.L. 109-270, Section 421(a)(1)	Federal	Regulation	Law mandating the reporting of racial and ethnic data of students to the Department of Education for higher education institutions participating in federal financial assistance programs	No	Yes	Report our agency must/may provide
127	C.F.R. 1602, subparts O, P, & Q	Federal	Regulation	Law mandating the reporting of racial and ethnic data of faculty and staff to the Department of Education for higher education institutions participating in federal financial assistance programs	No	Yes	Report our agency must/may provide

128	20 USC 1015a(i)(1)(V)	Federal	Statute	Law mandating publication of specific pieces of data to the Department of Education's College Navigator website	No	Yes	Report our agency must/may provide
129	Proviso 117.14	State	FY 2016-17 Proviso	States that temporary, temporary grant, and time-limited positions must have been in existence before July 1, 2017 in order to be considered for conversion to an FTE.	No	No - But relates to manner in which one or more agency deliverables is provided	
130	Proviso 117.74	State	FY 2016-17 Proviso	States that a report must be published on the institution's website of aggregate amounts of fees and fines charged and collected in the prior fiscal year	No	Yes	Report our agency must/may provide
131	Proviso 11.15	State	FY 2016-17 Proviso	Requires institutions to submit data to CHE related to abatement/waivers of tuition	No	Yes	Report our agency must/may provide
132	Proviso 11.16	State	FY 2016-17 Proviso	Requires institutions to submit data to legislature on all outstanding institutional debt	No	Yes	Report our agency must/may provide
133	Proviso 104.2	State	FY 2016-17 Proviso	States any permanent position in a state agency vacant for more than twelve months may be deleted by the State Fiscal Accountability Authority	No	No - But relates to manner in which one or more agency deliverables is provided	
134	Proviso 117.26	State	FY 2016-17 Proviso	Annual report on travel expenditures for top 10% of employees for whom travel expenses were paid.	No	Yes	Report our agency must/may provide
135	Proviso 117.34	State	FY 2016-17 Proviso	Annual debt collection report	No	Yes	Report our agency must/may provide
136	Proviso 117.248	State	FY 2016-17 Proviso	Submission of current personnel organization chart to the state	No	Yes	Report our agency must/may provide
137	Proviso 117.58	State	FY 2016-17 Proviso	Submission of annual audited financial statements to the state	No	Yes	Report our agency must/may provide
138	Proviso 3.5	State	FY 2017-18 Proviso	Requires higher education institutions to retain the student unique identifier or SUNS number assigned to students who attended SC public high schools	No	No - Does not relate directly to any agency deliverables	

Agency Name:

WINTHROP UNIVERSITY

Fiscal Year 2017-2018

Accountability Report

Agency Code:

H470

Section:

21

Customer Template

Divisions or Major Programs	Description	Service/Product Provided to Customers	Customer Segments	<i>Specify only for the following Segments: (1) Industry; Name; (2) Professional Organization; Name; (3) Public; Demographics.</i>
I. Education and General	Undergraduate students	Access to high quality academic and co-curricular experiences, accredited degree programs, high impact practices, and personal growth and development to an undergraduate student body that is comprised of 90% SC residents, 40% minority/under-represented race/ethnicity, and 40% Pell recipients.	General Public	The undergraduate student body consists of 91% South Carolina residents, 69% females, 41% Pell eligible, 58% white, 30% Black or African American, 5% Hispanic, 5% other U.S. minorities
I. Education and General	Graduate students	Access to high quality higher education, accredited degree programs, personal growth and development	General Public	The graduate student body consists of 68% South Carolina residents, 75% females, 68% white, 20% Black or African American, 3% Hispanic, 2% other U.S. minorities
I. Education and General	Employers	Winthrop graduates, who are eminently prepared to be leaders in their chosen professions, find employment in a wide range of K-12 schools, for-profit industries, non-profit organizations, government agencies, higher education institutions, medical fields, journalism, the arts, sciences, research, etc.	Industry	Winthrop graduates find employment in a wide range of K-12 schools, for-profit industries, non-profit organizations, government agencies, higher education institutions, medical fields, journalism, the arts, sciences, research, etc.
I. Education and General	Parents and guardians of current students	Access to higher education and opportunities for personal growth and development for their children	General Public	Demographic data on students' parents is not readily available to the agency.
I. Education and General	The state of South Carolina's citizens, employers, economy	Winthrop helps fuel the economic engines of SC through workforce development and entrepreneurship; by preparing students for democratic citizenship and social responsibility; by promoting professional success and social mobility.	Executive Branch/State Agencies	

I. Education and General	Winthrop alumni	Access to higher education, accredited degree programs, personal growth and development	General Public	Winthrop alumni consists of over 56,000 graduate and non-graduate alums. Demographic data on this population is not readily available to the agency.
III. Employee Benefits	Faculty, staff, and administrators	Winthrop employs over 1,200 regular, FTE-occupying staff, faculty, and administrators as well as student, temporary, and grant-funded employees	General Public	61% of employees are women, 15% of full-time faculty and 27% of full-time staff are multicultural.
I. Education and General	S.C. high schools	Winthrop serves approximately 15 Schools and 250 students per semester with dual enrollment credit for high school students.	School Districts	
I. Education and General	S.C. Commission on Higher Education	Winthrop University reports annually to the CHE in order to help CHE meet its mission as established in this statute and to fulfill Winthrop University's reporting requirements as a public institution of higher education within the state of South Carolina.	Executive Branch/State Agencies	
I. Education and General	Southern Association of Colleges and Schools Commission on Colleges (SACSCOC)	Winthrop complies with standards and regulations of this institution-wide regional accrediting body	Professional Organization	Southern Association of Colleges and Schools Commission on Colleges (SACSCOC)
I. Education and General	Specialized accrediting agencies	Winthrop complies with standards and regulations of specialized accrediting bodies at the program level.	Professional Organization	Accrediting Council on Education in Journalism and Mass Communication (ACEJMC); American Chemical Society (ACS); Association to Advance Collegiate Schools of Business (AACSB); Commission on Accreditation of Athletic Training Education (CAATE); Certified Financial Planner Board of Standards (CFP); Accreditation Council for Education in Nutrition and Dietetics (ACEND); Computing Accreditation Commission of the Accreditation Board for Engineering and Technology (CAC/ABET); Council for Accreditation of Counseling and Related Educational Programs (CACREP); Council for Interior Design Accreditation (CIDA); Commission on Sport Management Accreditation (COSMA); Council on Social Work Education (CSWE); National Association of the Education of Young Children (NAEYC); National Association of School Psychologists (NASP); National Association of Schools of Art and Design (NASAD); National Association of Schools of Dance (NASD); National Association of Schools of Music (NASM); National Association of Schools of Theatre (NAST); National Council for the Accreditation of Teacher Education (NCATE)

Agency Name:**WINTHROP UNIVERSITY****Fiscal Year 2017-2018****Accountability Report****Agency Code:****H470****Section:****021****Partner Template**

Name of Partner Entity	Type of Partner Entity	Description of Partnership	Associated Goal(s)
City of Rock Hill	Local Government	Winthrop partners with the City on issues regarding economic and urban development, traffic and road safety in areas near campus, and fine arts collaborations and initiatives.	3, 4, 5
York Technical College	Higher Education Institute	Bridge program partnership with York Tech students to grant access to Winthrop student and support services and aid transferring for increased higher education access	1, 5
Knowledge Park developer Sora Phelps	Private Business Organization	An ongoing concept joining public and private leaders to implement the redevelopment of Rock Hill's urban core as an economic development initiative designed to bring high-tech businesses and high-paying jobs to Rock Hill. This initiative creates new opportunities for Winthrop to strengthen its educational mission, to enrich learning and career opportunities for students, and to build supportive relationships with businesses in the region.	2, 4, 5
INBRE - National Institute of Health	Federal Government	"The goal of the Idea Networks of Biomedical Research Excellence (INBRE) is to increase the National Institute of Health research capacity of the state by programmatic expansion and networking of research activities of faculty and students at academic institutions throughout the state."	2, 5
Rex Institute School/University partnership network	K-12 Education Institute	A partnership between Winthrop, various K-12 school districts, and community partners created to improve student academic achievement in high-need schools, improve professional learning for school-university faculty and teacher candidates, strengthen the pre-baccalaureate preparation of teacher candidates, and increase support for new teachers in high-need districts.	2, 4
internship providers	Private Business Organization	Winthrop placed 589 undergraduate and 431 graduate students in internships, field experiences, and co-ops in the 2015-16 academic year. This includes internships in the private sector, nonprofit organizations, government agencies, and K-12 schools.	2, 4

K-12 schools, primarily in York, Lancaster, and Chester counties	K-12 Education Institute	Winthrop education majors begin participating in field experiences in local schools their freshman year, leading up to practice teaching in the senior year. Winthrop placed approximately 155 senior students in teacher certification internships/practice teaching in the public schools in the fall 2015 semester.	2, 4
S.C. high schools	K-12 Education Institute	Winthrop serves approximately 15 high schools and 250 students each fall for dual enrollment Teacher Cadet courses	1, 4
S.C. two-year institutions	Higher Education Institute	Winthrop has transfer articulation agreements and transfer course equivalencies for all S.C. higher education institutions to aid higher education access	1, 5
Winthrop Initiative for STEM Educators (WISE)	K-12 Education Institute	The WISE program is a collaboration with regional school districts, the Center for Educator Recruitment, Retention & Advancement (CERRA), the National Science Foundation, the Teacher Cadet program, NetSCOPE, and York Tech. Its goals are recruitment of and support for math and science teachers; multiple and varied paths for certification; research and internship opportunities for STEM majors; and incentive programs leading to teacher certification in STEM fields.	2, 4, 5
S.C. Commission on Higher Education	State Government	Winthrop University reports annually to the CHE in order to help CHE meet its mission as established in this statute and to fulfill Winthrop University's reporting requirements as a public institution of higher education within the state of South Carolina.	1, 2
Center for Educator Recruitment, Retention, & Advancement (CERRA)	K-12 Education Institute	Established by SC CHE in 1985 and funded by the SC General Assembly, CERRA was created out of a concern for the condition of SC's teacher supply pool and a need for a centralized teacher recruitment, retention, and advancement effort.	2, 4
Winthrop Foundation	Non-Governmental Organization	Charitable foundation established to support and enhance Winthrop University by fundraising, asset management, and support of endowed scholarships, professorships, awards, and other educational services of the University.	1, 5

Agency Name: WINTHROP UNIVERSITY

Fiscal Year 2017-2018
Accountability Report

Agency Code: H470 Section: 021

Report and External Review Template

Item	Is this a Report, Review, or both?	Report or Review Name	Name of Entity Requesting the Report or Conducting Review	Type of Entity	Reporting Frequency	Current Fiscal Year: Submission Date or Review Timeline (MM/DD/YYYY)	Summary of Information Requested in the Report or Reviewed	Method to Access the Report or Information from the Review
1	External Review and Report	IPEDS Institutional Characteristics	Department of Education	Federal	Annually	September 15, 2017	Required reporting of institutional data	http://nces.ed.gov/ipeds/datacenter/
2	External Review and Report	IPEDS Completions	Department of Education	Federal	Annually	October 1, 2017	Required reporting on degrees completed	http://nces.ed.gov/ipeds/datacenter/
3	External Review and Report	IPEDS 12-Month Enrollment	Department of Education	Federal	Annually	October 1, 2017	Required reporting on enrollment data by 12-month period	http://nces.ed.gov/ipeds/datacenter/
4	External Review and Report	IPEDS Student Financial Aid	Department of Education	Federal	Annually	February 12, 2018	Required reporting of financial aid data	http://nces.ed.gov/ipeds/datacenter/
5	External Review and Report	IPEDS Graduation Rate, Graduation 200%	Department of Education	Federal	Annually	February 12, 2018	Required reporting of graduation rate and completions data	http://nces.ed.gov/ipeds/datacenter/
6	External Review and Report	IPEDS Admissions	Department of Education	Federal	Annually	February 12, 2018	Required reporting of admissions data	http://nces.ed.gov/ipeds/datacenter/
7	External Review and Report	IPEDS Outcome Measures	Department of Education	Federal	Annually	February 12, 2018	Required reporting of student completion/retention/subsequent enrollment data	http://nces.ed.gov/ipeds/datacenter/
8	External Review and Report	IPEDS Enrollment	Department of Education	Federal	Annually	February 12, 2018	Required reporting of enrollment data	http://nces.ed.gov/ipeds/datacenter/
9	External Review and Report	IPEDS Finance	Department of Education	Federal	Annually	April 1, 2018	Required reporting of finance data	http://nces.ed.gov/ipeds/datacenter/
10	External Review and Report	IPEDS Human Resources	Department of Education	Federal	Annually	April 1, 2018	Required reporting of faculty and staff demographic and salary data	http://nces.ed.gov/ipeds/datacenter/
11	External Review and Report	IPEDS Academic Libraries	Department of Education	Federal	Annually	April 1, 2018	Required reporting of library holdings data	http://nces.ed.gov/ipeds/datacenter/
12	External Review and Report	CHEMIS Enrollment, Identifier, Disbursements, Course, CHE 14A, B, & C	South Carolina Commission on Higher Education	State	Quarterly	Sept. 1, Oct. 30, and Mar. 31, 2018	Report required each semester with data on student enrollment	http://www.che.sc.gov/DataPublications
13	External Review and Report	CHEMIS Identifier	South Carolina Commission on Higher Education	State	Quarterly	Sept. 1, Oct. 30, and Mar. 31, 2018	Report required each semester with data on student SSN changes	http://www.che.sc.gov/DataPublications
14	External Review and Report	CHEMIS Disbursements	South Carolina Commission on Higher Education	State	Quarterly	Sept. 1, Oct. 30, and Mar. 31, 2018	Report required each semester with data on scholarships awarded	http://www.che.sc.gov/DataPublications
15	External Review and Report	CHEMIS Course	South Carolina Commission on Higher Education	State	Quarterly	Sept. 1, Oct. 30, and Mar. 31, 2018	Report required each semester with course data	http://www.che.sc.gov/DataPublications
16	External Review and Report	CHEMIS 14A, B, C	South Carolina Commission on Higher Education	State	Quarterly	Sept. 1, Oct. 30, and Mar. 31, 2018	Report required each semester with data on credit hours earned by employees and senior citizens and credit hours generated outside of SC	http://www.che.sc.gov/DataPublications
17	External Review and Report	CHEMIS Completions	South Carolina Commission on Higher Education	State	Annually	September 30, 2017	Required reporting on degrees and certificates awarded in the prior year	http://www.che.sc.gov/DataPublications
18	External Review and Report	CHEMIS Facilities	South Carolina Commission on Higher Education	State	Annually	October 1, 2017	Required reporting on building- and room-level data on campus facilities	http://www.che.sc.gov/DataPublications
19	External Review and Report	CHEMIS Faculty	South Carolina Commission on Higher Education	State	Annually	November 1, 2017	Required reporting on faculty credential, demographic, and salary data	http://www.che.sc.gov/DataPublications
20	External Review and Report	CHEMIS Abatements	South Carolina Commission on Higher Education	State	Annually	October 1, 2017	Required reporting on number of out-of-state students in prior fiscal year that received abatement of tuition rates	http://www.che.sc.gov/DataPublications
21	External Review and Report	Outstanding Institutional Debt	South Carolina Commission on Higher Education	State	Annually	November 1, 2017	Required reporting on amount of initial debt, year incurred, year of satisfaction, repayment schedule, purpose of debt	http://www.che.sc.gov/DataPublications
22	External Review and Report	Vacant FTEs, Unfunded FTEs	State Fiscal Accountability Authority	State	Annually	September 30, 2017	Required reporting on vacant permanent positions, number of established positions for the FY and amount of funds required	http://www.sfaa.sc.gov

23	External Review and Report	Travel Report	State Fiscal Accountability Authority	State	Annually	November 30, 2017	Required report that summarizes in-state, out-of-state and non-employee travel and registration expenditures.	http://www.sfaa.sc.gov
24	External Review and Report	State Accountability Report	Executive Budget Office	State	Annually	September 15, 2017	Required report that identifies key program area descriptions and expenditures and links these to key financial and performance results measures	http://www.scstatehouse.gov/reports/aar2016/aar2016.php
25	External Review and Report	Debt Collection Report	South Carolina Department of Administration	State	Annually	February 28, 2018	Required report on amount of outstanding debt and methods used to collect that debt	http://www.admin.sc.gov
26	External Review and Report	Organizational Chart	State Human Resources Division	State	Annually	September 30, 2017	Required current organizational chart showing all authorized positions, class title, class code, position number and indication of vacancies	http://www.admin.sc.gov/humanresources/agency-information/organizational-chart-statewide
27	External Review and Report	Year-End Financial Statements	State Fiscal Accountability Authority	State	Annually	October 30, 2017	Required annual audited financial statements for inclusion in the State's Comprehensive Annual Financial report	http://www.sfaa.sc.gov
28	External Review and Report	Fines and Fees Report	South Carolina Department of Administration	State	Annually	September 30, 2017	Required report of all aggregate amounts of fines and fees that were charged and collected by the agency in the prior fiscal year	http://www.winthrop.edu/uploadedFiles/controllersoffice/ProvisoCurrent.pdf
29	External Review and Report	Fifth-Year Interim Report	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization		March 15, 2018	In order to maintain accreditation, the University is required to complete a comprehensive report, providing evidence, documentation, and narrative of compliance with approximately 20 of SACSCOC's standards	https://www.winthrop.edu/sacs/default.aspx?id=8242
30	External Review and Report	Compliance Certification Report	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization		April 1, 2022	In order to maintain accreditation, the University is required to complete a comprehensive report, providing evidence of compliance with SACSCOC's 60+ standards	https://www.winthrop.edu/sacs/default.aspx?id=8241
31	External Review and Report	Quality Enhancement Plan Five Year Impact Report	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization		September 1, 2016	SACSCOC requires institutions to develop, implement, support, and assess a QEP as part of the reaffirmation process	http://www.winthrop.edu/gli/default.aspx?id=40972
32	External Review and Report	SACSCOC Enrollment Report	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization	Annually	December 15, 2017	In order to maintain accreditation, the University is required to report data on enrollment, credit hours, and contact hours.	http://www.sacscoc.org/
33	External Review and Report	CHE Admissions Data Submission	South Carolina Commission on Higher Education	State	Annually	December 15, 2017	Required report on the number of first-time freshmen applicants, admits, enrolled, description of admission policy	http://www.che.sc.gov/DataPublications
34	External Review and Report	SACSCOC Financial Profile	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization	Annually	July 15, 2017	In order to maintain accreditation, the University must report financial data from IPEDS Finance and from audited fiscal year financial statements	http://www.sacscoc.org/
35	External Review and Report	SACSCOC Student Achievement Goals	Southern Association of Colleges and Schools Commission on Colleges	Outside Organization	Annually	March 30, 2018	Data required to be posted to the web on various student achievement measures	http://www.winthrop.edu/accountability/default.aspx?id=39591
36	External Review and Report	President's presentation to S.C. Ways and Means Committee	State of South Carolina	State	Annually	January 25, 2018	Data on enrollment, finances, scholarships, etc as requested by the legislature	http://www.scstatehouse.gov/CommitteeInfo/housewaysandmeans.php
37	External Review and Report	IE Report: Professional Examinations Table	South Carolina Commission on Higher Education	State	Annually	August 30, 2017	Required report on pass rates from professional examinations taken by current students	http://www.che.sc.gov/DataPublications
38	External Review and Report	List of Vendors Paid in Excess of \$5,000	ACME Research	Outside Organization		Upon request	Required list of vendors paid in excess of \$5,000, excluding employees and students	internally
39	External Review and Report	CHE100	South Carolina Commission on Higher Education	State	Annually	July 15, 2017	Required schedule of Required and Other Fees	http://www.che.sc.gov/Students.FamiliesMilitary/PayingForCollege/TuitionFeeSchedules.aspx
40	External Review and Report	CHE150	South Carolina Commission on Higher Education	State	Annually	January 25, 2018	Required report on Research and Public Service expenses, utility costs, acreage, etc	internally
41	External Review and Report	eZ Audit	Department of Education	Federal	Annually	March 30, 2018	Required eZ Audit submission for Federal Student Aid - financial statements and audit compliance	internally

42	External Review and Report	Form 1042-S	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	March 15, 2018	Required report on foreign persons' U.S. source income subject to withholding	internally
43	External Review and Report	Form 1042-T	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	March 15, 2018	Required annual summary and transmittal of Forms 1042-S	internally
44	External Review and Report	Form 1042	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	March 15, 2018	Required annual withholding tax return for U.S. source income of foreign persons	internally
45	External Review and Report	Form 1099-K	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	January 31, 2018	Required payment card and third party network transactions	internally
46	External Review and Report	Form 1096 for 1099-K	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	February 28, 2018	Required transmittal for payment card and third party network transactions	internally
47	External Review and Report	Form 941	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Quarterly	end of the month following the quarter end	Required employer's quarterly federal tax return	internally
48	External Review and Report	Schedule B of Form 941	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Quarterly	end of the month following the quarter end	Required report of tax liability for semiweekly schedule depositors	internally
49	External Review and Report	Form 1098-T	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	January 31, 2018	Required report providing information on educational expenses to student or parent	internally
50	External Review and Report	Form 1099 MISC	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	January 31, 2018	Required report on miscellaneous income paid to non-employees	internally
51	External Review and Report	Form 1096 for 1099 MISC	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	February 28, 2018	Required transmittal for miscellaneous income	internally
52	External Review and Report	Form W-9	Department of the Treasury - Internal Revenue Service (IRS)	Federal		Upon request	Required to provide Winthrop University's federal tax identification number	internally
53	External Review and Report	Form W-2	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	January 31, 2018	Required wage and tax statement	internally
54	External Review and Report	Form 1095-C	Department of the Treasury - Internal Revenue Service (IRS)	Federal	Annually	January 31, 2018	Required employer-provided health insurance offer and coverage	internally
55	External Review and Report	Form SF-SAC	Federal Audit Clearinghouse	Federal	Annually	November 15, 2017	Required summary of information for Federal Student Aid Awards in accordance with OBM Circular A-133	internally
56	External Review and Report	NCAA Report	NCAA	Federal	Annually	January 15, 2018	Summary of athletic financial information by sport and gender required by the NCAA	internally
57	External Review and Report	SPIRS	SC Department of Administration	State	Monthly	10th of each month	Required summary of expenditure transactions processed on statewide permanent improvement projects	internally
58	External Review and Report	Annual Budget Plan	SC Department of Administration	State	Annually	October 15, 2017	Required program templates for requesting recurring, non-recurring and capital funding	internally
59	External Review and Report	Unemployment information	SC Department of Employment and Workforce (DEW)	State	Quarterly	end of the month following the quarter end	Quarterly unemployment information as required by this state agency	internally
60	External Review and Report	Retirement information	SC Public Employee Benefit Authority (PEBA)	State	Monthly	as requested by agency	Monthly retirement information as required by this state agency	internally
61	External Review and Report	Retirement information	SC Public Employee Benefit Authority (PEBA)	State	Quarterly	as requested by agency	Quarterly retirement information as required by this state agency	internally
62	External Review and Report	Worker's compensation information	South Carolina State Accident Fund	State	Quarterly	end of the month following the quarter end	Quarterly worker's compensation information as required by this agency	internally
63	External Review and Report	Worker's compensation information	South Carolina State Accident Fund	State	Annually	August 15, 2017	Annual worker's compensation information as required by this agency	internally

64	External Review and Report	Closing Package	State of SC Comptroller General's Office	State	Annually	September 20, 2017	Required financial audit summary information	internally
65	External Review and Report	Audited Financial Statements	State of SC Comptroller General's Office	State	Annually	October 1, 2017	Required independent auditors' report on Winthrop University's financial statements and schedules	http://osa.sc.gov
66	External Review and Report	Agreed Upon Procedures	State of SC Comptroller General's Office	State	Annually	December 15, 2017	Required independent auditors' report on Winthrop University's intercollegiate athletics program	http://osa.sc.gov
67	External Review and Report	Form WH-1605	State of SC Department of Revenue (SC DOR)	State	Quarterly	end of the month following the quarter end	Required SC withholding quarterly tax return for first, second and third quarters	internally
68	External Review and Report	Form WH-1606	State of SC Department of Revenue (SC DOR)	State	Annually	February 28, 2018	Required SC withholding fourth quarter and annual reconciliation	internally
69	External Review and Report	Form ST-3	State of SC Department of Revenue (SC DOR)	State	Monthly	20th of each month	Required state sales and use tax return for Accounts Payable	internally
70	External Review and Report	Form ST-3	State of SC Department of Revenue (SC DOR)	State	Monthly	20th of each month	Required state sales and use tax return for Printing Services	internally
71	External Review and Report	Form ST-3	State of SC Department of Revenue (SC DOR)	State	Annually	January 15, 2018	Required state sales and use tax return for Athletics	internally
72	External Review and Report	Form ST-388	State of SC Department of Revenue (SC DOR)	State	Monthly	20th of each month	Required state sales, use, and accommodations tax return for The Inn at Winthrop	internally
73	External Review and Report	Form L-511	State of SC Department of Revenue (SC DOR)	State	Monthly	20th of each month	Required admissions/theatre tax return for Athletics admissions tax	internally
74	External Review and Report	Form ST-8	State of SC Department of Revenue (SC DOR)	State		Upon request	Exemption certificate for sales and use tax - single sale	internally
75	External Review and Report	Sales and use tax exemption information	State of SC Department of Revenue (SC DOR)	State		As needed	Sales and use tax exemption for "Machine(s) Used for R&D"	internally
76	External Review and Report	Minority Assistance Report	State Procurement Office	State	Annually	August 15, 2017	Required financial information and minority business transactions	internally
77	External Review and Report	Independent Accountant Report	The University of North Carolina	Outside Organization	Annually	September 1, 2017	Required independent auditors' report on Winthrop University's North Carolina bond guarantee	internally
78	External Review and Report	US News Finance Survey	US News & World Report	Outside Organization	Annually	May 15, 2018	Financial information on prior fiscal year	internally
79	External Review and Report	US News Survey	US News & World Report	Outside Organization	Annually	May 15, 2018	Data on the institution for the use of prospective students	http://www.usnews.com
80	External Review and Report	Peterson's Survey	Peterson's	Outside Organization	Annually	May 15, 2018	Data on the institution for the use of prospective students	http://www.petersons.com
81	External Review and Report	ACT-Institutional Data Questionnaire	ACT	Outside Organization	Annually	April 15, 2018	Data on the institution for the use of prospective students	http://www.act.org
82	External Review and Report	CUPA-HR Four-Year Faculty Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on full-time faculty salaries by rank and discipline	www.cupahr.org
83	External Review and Report	CUPA-HR Professionals in Higher Education Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on exempt staff salaries by rank and discipline	www.cupahr.org
84	External Review and Report	CUPA-HR Administrators' Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on administrator salaries by rank and discipline	www.cupahr.org
85	External Review and Report	CUPA-HR Non-Exempt Employees Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on non-exempt staff salaries by rank and discipline	www.cupahr.org
86	External Review and Report	CUPA-HR Per Course Faculty Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on part-time faculty salaries by rank and discipline	www.cupahr.org
87	External Review and Report	CUPA-HR Department Heads Faculty Survey	College and University Professional Association for Human Resources	Outside Organization	Annually	January 30, 2018	Information on full-time faculty department chair salaries by rank and discipline	www.cupahr.org
88	External Review and Report	AAUP Faculty Compensation Survey	American Association of University Professors	Outside Organization	Annually	January 30, 2018	Information on faculty salaries and benefits	https://www.aaup.org/our-work/research/annual-report-economic-status-profession

89	External Review and Report	Higher Education Arts Data Services (HEADS) Survey	National Association of Schools of Art and Design	Outside Organization	Annually	January 30, 2018	Required report of all NASAD accredited institutions of information regarding art/design program operations and achievements	https://nasad.arts-accredit.org
90	External Review and Report	CSRDE Retention and Completion Survey	Consortium for Student Retention Data Exchange	Outside Organization	Annually	March 30, 2018	Data on continuation and completion rates for students by gender and race	https://csrde.ou.edu
91	External Review and Report	CSRDE STEM Retention and Completion Survey	Consortium for Student Retention Data Exchange	Outside Organization	Annually	May 30, 2018	Data on continuation and completion rates for students in Science, Technology, Engineering, and Math majors by gender and race	https://csrde.ou.edu
92	External Review and Report	CSRDE Community College Transfer Retention and Completion Survey	Consortium for Student Retention Data Exchange	Outside Organization	Annually	July 14, 2017	Data on continuation and completion rates for students who transferred in from two-year institutions by gender and race	https://csrde.ou.edu
93	External Review and Report	Open Doors Survey	Institute of International Education	Outside Organization	Annually	March 30, 2018	Statistical data on international students	http://www.iie.org/Research-and-Publications/Open-Doors#.V8WulfkrLRY
94	External Review and Report	College Board Survey	College Board	Outside Organization	Annually	December 1, 2017	Data on the institution for the use of prospective students	https://research.collegeboard.org/
95	External Review and Report	Council on Social Work Education (CSWE) Survey	Council on Social Work Education	Outside Organization	Annually	February 15, 2018	Required report of all CSWE accredited institutions of information regarding social work program operations and achievements	https://www.cswe.org
96	External Review and Report	General Social Survey (GSS)-National Science Foundation Survey	National Science Foundation	Outside Organization	Annually	February 15, 2018	Enrollment and financial aid data on students in the biology, specialist in school psychology, and human nutrition programs	http://www.nsf.gov
97	External Review and Report	Wintergreen Orchard House Survey	Wintergreen Orchard House	Outside Organization	Annually	April 15, 2018	Data on the institution for the use of prospective students	http://www.wintergreenorchardhouse.com
98	External Review and Report	SCOIS Survey	South Carolina Occupational Information System	Outside Organization	Annually	May 5, 2018	Data on the institution for the use of prospective students	https://portal.sccis.intocareers.org
99	Internal Review and Report	Common Data Set (CDS)	Common Data Set Initiative	Outside Organization	Annually	December 10, 2017	A collaborative effort among data providers in the higher education community to improve the quality and accuracy of information provided to all involved in a student's transition into higher education, as well as to reduce the reporting burden on data providers	http://www.winthrop.edu/uploadedFiles/CDS2015-2016Publication.pdf
100	External Review and Report	Barron's Survey	Barron's Educational Services	Outside Organization	Annually	December 10, 2017	Data on the institution for the use of prospective students	http://www.barronspac.com/
101	External Review and Report	Princeton Review Survey	The Princeton Review	Outside Organization	Annually	March 15, 2018	Data on the institution for the use of prospective students	http://www.princetonreview.com/college-education
102	External Review and Report	Food and Agricultural Education Information System (FAEIS) Survey	Board on Human Sciences and the Council of Administrators in Family and Consumer Sciences	Outside Organization	Annually	March 15, 2018	Data to track trends and financial health in higher education programs in human sciences	http://www.faeis.ahnrit.vt.edu/hs_survey.shtml
103	External Review and Report	ACEJMC reporting	Accrediting Council on Education in Journalism and Mass Communication	Outside Organization	Annually	July 15, 2017	Required report of all ACEJMC accredited institutions of information regarding mass communication and integrated marketing program operations and achievements	http://www2.ku.edu/~acejmc/
104	External Review and Report	ACS reporting	American Chemical Society	Outside Organization	Annually	August 15, 2017	Required report of all ACS accredited institutions of information regarding chemistry program operations and achievements	https://www.acs.org/content/acs/en.html
105	External Review and Report	AACSB reporting	Association to Advance Collegiate Schools of Business International	Outside Organization	Annually	August 15, 2017	Required report of all AACSB accredited institutions of information regarding business program operations and achievements	http://www.aacsb.edu/
106	External Review and Report	CAATE reporting	Commission on Accreditation of Athletic Training Education	Outside Organization	Annually	August 15, 2017	Required report of all CAATE accredited institutions of information regarding athletic training program operations and achievements	http://www.caate.net/
107	External Review and Report	CFP	Certified Financial Planner Board of Standards	Outside Organization	Annually	August 15, 2017	Required report of all CFP accredited institutions of information regarding finance program operations and achievements	http://www.cfp.net/

108	External Review and Report	ACEND	Accreditation Council for Education in Nutrition and Dietetics	Outside Organizat	Annually	August 15, 2017	Required report of all ACEND accredited institutions of information regarding human nutrition program operations and achievements	http://www.eatright.org/
109	External Review and Report	CAC/ABET	Computing Accreditation Commission of the Accreditation Board for Engineering and Technology	Outside Organizat	Annually	August 15, 2017	Required report of all CAC/ABET accredited institutions of information regarding computer science program operations and achievements	http://www.abet.org/
110	External Review and Report	CACREP	Council for Accreditation of Counseling and Related Educational Programs	Outside Organizat	Annually	August 15, 2017	Required report of all CACREP accredited institutions of information regarding counseling program operations and achievements	http://www.cacrep.org/
111	External Review and Report	CIDA	Council for Interior Design Accreditation	Outside Organizat	Annually	August 15, 2017	Required report of all CIDA accredited institutions of information regarding interior design program operations and achievements	http://accredit-id.org/
112	External Review and Report	COSMA	Commission on Sport Management Accreditation	Outside Organizat	Annually	August 15, 2017	Required report of all COSMA accredited institutions of information regarding sport management program operations and achievements	http://www.cosmaweb.org/
113	External Review and Report	NAEYC	National Association of the Education of Young Children	Outside Organizat	Annually	August 15, 2017	Required report of all NAEYC accredited institutions of information regarding early childhood education program operations and achievements	http://www.naeyc.org/
114	External Review and Report	NASP	National Association of School Psychologists	Outside Organizat	Annually	August 15, 2017	Required report of all NASP accredited institutions of information regarding school psychology program operations and achievements	http://www.nasponline.org/
115	External Review and Report	NASD	National Association of Schools of Dance	Outside Organizat	Annually	August 15, 2017	Required report of all NASD accredited institutions of information regarding dance program operations and achievements	https://nasd.arts-accredit.org/
116	External Review and Report	NASM	National Association of Schools of Music	Outside Organizat	Annually	August 15, 2017	Required report of all NASM accredited institutions of information regarding music program operations and achievements	https://nasm.arts-accredit.org/
117	External Review and Report	NAST	National Association of Schools of Theatre	Outside Organizat	Annually	August 15, 2017	Required report of all NAST accredited institutions of information regarding theatre program operations and achievements	https://nast.arts-accredit.org/
118	External Review and Report	NCATE	National Council for the Accreditation of Teacher Education	Outside Organizat	Annually	August 15, 2017	Required report of all NCATE accredited institutions of information regarding teacher education program operations and achievements	http://www.ncate.org/
119	External Review and Report	Financial Audit	Office of the State Auditor	State	Annually	June 30, 2018	Annual audit of fiscal practices and processes.	http://osa.sc.gov/Reports
120	External Review and Report	Intercollegiate Athletics Audit	Office of the State Auditor	State	Annually	June 30, 2018	Annual audit of NCAA regulations	http://osa.sc.gov/Reports
121	Internal Review and Report	academic program reviews	various	Outside Organizat	Every three years	May 30, 2018	Program reviews were conducted in 2017-18 for the B.A. in philosophy/religious studies, the B.A. in sociology, and the B.S in exercise science. The resulting reports are used internally but are not published.	
122	Internal Review and Report	Student Consumer Information	Higher Education Opportunity Act	Outside Organizat	Biennially	April and August	Requires institutions to make certain disclosures and data to students. The Student Consumer Information website was established and is maintained for this purpose.	https://www.winthrop.edu/accountability/default.aspx?id=49101